

# **Establishment of Cyclodextrin-Based Hyperbranched Nanoparticles for Delivering Alpha Mangotin in Cancer Treatment**

PhD. Student: Doan Thi Hong Van

Supervisor: Prof. Kazuo Sakurai

The University of Kitakyushu

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## Chapter I: Introduction

### I. 1 General introduction

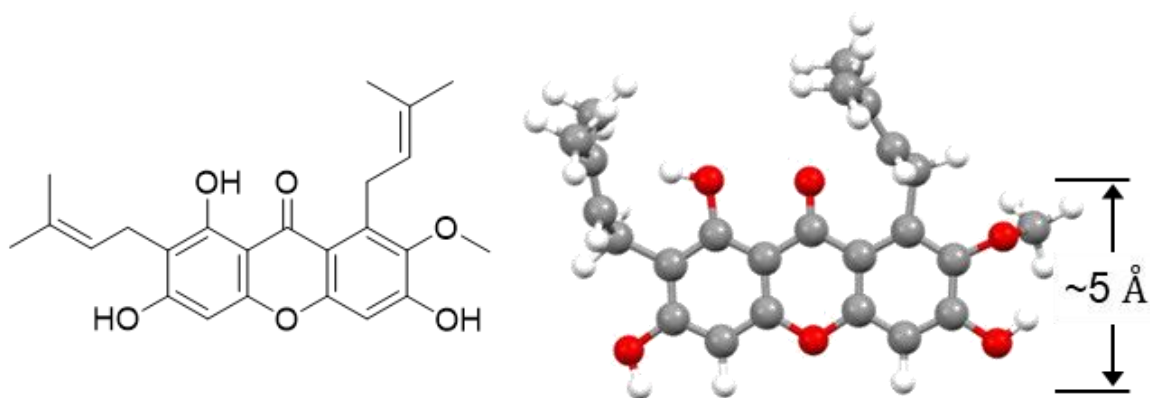
Plants not only play a key role in regulating climate on the Earth but also have a great meaning for human existence. They have provided foods and medicines for human in prehistoric times. Through the 20th and into the 21st century, plants have been the major source of drugs. They produce various bioactive compounds to defend themselves against insects, bacteria, and herbivorous animals. Nowadays, plant natural products have become more attractive because it can be used as plant-based therapies and botanical healthcare products [1]. Many studies showed plant natural products display interesting bio/pharmacological activities including anticancer activities. According to the US National Cancer Institute, out of the 3000 plants identified as active against cancer, approximately 70% of the plants that have been identified active against cancer are tropical plant origin [2]. With development of solvent-extraction technology and analytical chemistry, anticancer drugs are extracted from tropical plants that have been available in large extent and caused to commercialize many plant-derived drugs.

### I. 2 Alpha mangostin (MGS)

Among plant natural products, there is a xanthone derivative called  $\alpha$ -mangostin (denoted as MGS hereinafter, see Figure 1-1) which can be extracted from the pericarps of natural fruit mangosteen [3]. Xanthone derivatives are known to exhibit diverse bioactivities depending on the modified structure on the three-membered heterocyclic ring [3-7]. MGS exhibits several bioactive properties such as antioxidant [8], cytotoxic [9], anti-inflammatory [10,11], antibacterial [9]. Besides, MGS is also highly expected as a candidate of anticancer drugs [12]. Its chemical structure has three phenolic hydroxy groups that are expected to capture reactive oxygen species (ROS) or donate protons, although this is not as effective as dihydroquinones in terms of the ability of ROS scavenger. It is also suggested its reduction of ROS in biological systems is probably mediated by its modulatory effect on the activity of glutathione peroxidase [10]. However, there are still gaps to biochemically connect the chemical structure of MGS and its bioactivities; nonetheless, it is certain that the nature of MGS antioxidation is closely related to its bioactivities.

In regard to biological pathways of MGS in cancer cell, Akao et al reported that MGS induces the down-regulation of signaling cascades involving mitogen-activated protein

(MAP) kinases and serine/threonine kinase (Akt) in human colon cancer DLD-1 cell [12]. MAP kinases play an important role in the cellular response to osmotic stress, ROS, heat shock and proinflammatory cytokines. They regulate proliferation, gene expression, differentiation, and mitosis, among others [13]. Akt (also known as protein kinase B) is involved in many cellular responses such as glucose metabolism, apoptosis, cell proliferation, transcription, and cell migration. Additionally, Akt is overexpressed in many cancer cells and is a good target to treat them [13]. MGS was found to have an effect of preventing cancer in a rat carcinogenesis bioassay and enhanced NK cell activity in a mouse model. Furthermore, it has been reported that MGS can act against cancers through inhibiting the fatty acid pathway. Therefore, MGS has great potential as an anticancer drug.



**Figure 1-1.** Chemical structure of MGS and its molecular model calculated with MOPAC.

However, the medical application of MGS is obstructed by its poor bioavailability. Bioavailability is the term used in the pharmaceutical field to describe the extent and rate at which a drug enters systemic circulation, thereby accessing the site of activity. This poor bioavailability of MGS is due to its very low solubility in water ( $2 \times 10^{-4}$  mg/L) [14], compared with that of normal commercially available drugs (about at least  $\sim 10^{-3}$  g/L). Despite the presence of 10% ethanol, the solubility of MGS still made no significant improvement [15]. This issue presents a challenge for dissolving a large amount of this compound in an *in vivo* experiment.

### I. 3 Drug delivery system (DDS)

A drug delivery system (DDS) is defined as a device that enables to introduce a therapeutic substance in the body [16]. DDS improves its efficacy and safety by controlling the rate, time, and place of release of drugs in the body. It can be designed properly for diverse

types of administration routes (i.e. oral, parenteral, nasal), specific targeted systems (i.e. antibody-targeted systems, receptor-mediated targeted delivery), delivering various of molecules even biomacromolecules. Thus, DDS has a tremendous impact on medicine. In addition to novel carriers for drug delivery such as collagen, microspheres, glass-like sugar matrices, nanotechnology-based drug delivery is considered to be the most important and popular due to its ability of integration into the human body [16]. The use of selective functional groups creates desirable internal signaling and helps nanoparticles be directed to an organ, tissue, or tumor without external control [17]. Due to uniform physicochemical and its nanosize ( $10^{-9}$  m), nanoparticle drug delivery system become a useful technique for delivering drug to specific target at intermolecular scale, overcoming the limitation of drugs (i.e., poor solubility).

For many anti-cancer drugs including DOX, THP, and mitomycin C, there are similar problems associated with their hydrophobicity. Because of such hydrophobicity, they are easily trapped by the cellular matrix and thus become widely distributed to normal organs as well as the tumor [18]. These drugs usually do not remain in the tumor for more than 10 min [19] and the extent to which they are distributed into cancerous regions is normally quite low. Consequences of this lack of tumor selectivity include insufficient therapeutic benefits and severe systemic toxicity [18]. Maeda et al. were the first to propose that, when these drugs are incorporated into particles with a suitable size, they accumulate in the tumor. When the particle size is adjusted to within a suitable range [20] (normally 10–100 nm in diameter), the particles circulate for longer in the blood by evading clearance by the mononuclear phagocytes in the liver and bypassing the filtration in the kidneys. Ultimately, a longer circulation time leads to drug accumulation at tissue sites affected by cancer. The endothelial lining of a tumor is incomplete and the tumor vasculature usually has large pores (0.1–2  $\mu$ m in diameter) [21] because of the absence of vasculature-supporting tissues. These pores lead to leaky vessels forming, and are a reason for the permeability of tumors being higher than that of normal tissues. Once the nanoparticles enter the tumor region after penetrating the endothelial barrier, they may penetrate further into the interior of the tumor by diffusion or hydrophobic interaction with the cellular membrane. Since there is no lymphatic clearance of a tumor, the accumulated particles are retained within it. This phenomenon is called the enhanced permeation and retention effect (EPR effect) [22,23], which is the basic route of entry of all DDS that have been developed for antitumor therapy. Nakamura et al. showed

that N-(2-hydroxypropyl)methacrylamide copolymer containing groups (PHPMA) conjugated with THP was much better than THP itself. Specifically, they described that PHPMA-THP penetrated more efficiently into tumor-cell spheroids, had much better antitumor activity in an *in vivo* experiment, and showed a 4–20-times-higher concentration of drug in tumor tissue [24,25].

To overcome the limited solubility of MGS, the nanoparticle formulation strategy has been studied [26]. Verma et al. showed that MGS-encapsulated PLGA [poly(D,L-lactic-co-glycolic acid)] nanoparticles inhibited the growth, development, and metastasis of pancreatic cancer [27]. In addition, Yostawonkul et al. concluded that their nanocarrier-mediated delivery of MGS reduced the levels of pro-inflammatory mediators and may have utility in the non-surgical castration of male animals [28]. Although these were useful findings, the experiments mostly involved investigations only *in vitro* or *ex vivo*.

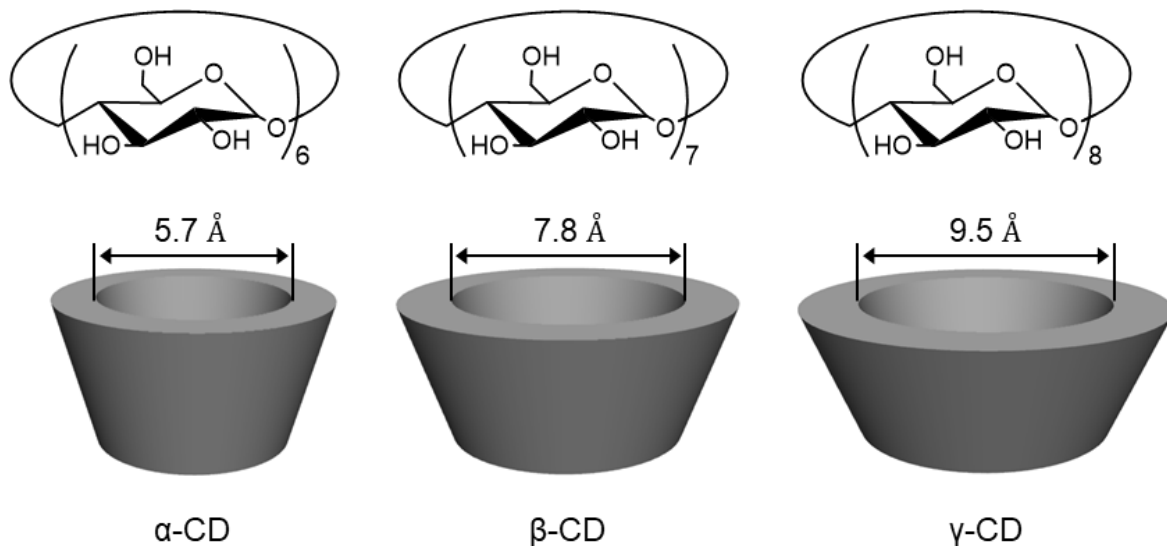
In our group, based on EPR effect, we investigated a common way which MGS was conjugated with N-(2-Hydroxypropyl)methacrylamide copolymer containing hydrazide groups (PHPMA). After attaching carbonyl groups into MGS structure to introduce PHPMA, MGS lost its cytotoxicity *in vitro* experiment. In present study, we propose a novel nanoparticle that enables to deliver MGS effectively in both *in vitro* and *in vivo* experiment.

#### **I. 4 Cyclodextrin and its applications**

As mentioned above, there are two main problems that limit on clinical use of MGS: one is the poor water-solubility of MGS, the other is small molecule. In order to improve these impediments, we choose cyclodextrins (CDs). It has been proved that CDs are biocompatible molecules approved by FDA as the material that can be injected into the human body and have already been used for a long time [29]. CD have a macro-cyclic structure made of 6, 7 or 8 units of glucose linked together, which is named as  $\alpha$ ,  $\beta$  or  $\gamma$ CD, respectively. Since many hydroxyl groups are attached to the top and bottom of the molecule, it exhibits good water solubility, while the inside of the cyclic structure is hydrophobic and can contain hydrophobic molecules [30] which is called “molecular pocket” [30–32]. The cavity size is determined by the number of glucose units, and the cavity diameter of  $\alpha$ ,  $\beta$  and  $\gamma$ CD is approximately 5.7, 7.8 and 9.5 Å, respectively (shown in Figure 1-2) [33,34]. This molecular pocket of CD can be used to capture hydrophobic drugs without damaging their



bioactivity [35,36]. Therefore, CDs can be used a building block for DDS and become our interest in this study.



**Figure 1-2.** The chemical structure of  $\alpha$ ,  $\beta$ , and  $\gamma$ CD and their schematic structures.

CDs are one of industrially useful compounds because of low cost and environmentally friendly. Furthermore, once CDs are incorporated into polymeric system, sometimes the cyclodextrin based polymeric materials exhibit excellent adsorption capacities for different kinds of compounds including hydrophobic organic compounds, dyes, and metal ions [37]. CD-based polymers have been used for biomedical application such as cancer imaging, therapy and theranostics [38]. Interestingly, those performance is better than original CDs and such a phenomenon is called “expanded pocket due to polymeric effect” [37]. Rungrim et al. have demonstrated the formation of the inclusion complex of  $\beta$ CD/MGS by both experimental and theoretical studies, which investigated the effect of ethanol as a co-solvent on the  $\beta$ CD/MGS formation [15]. As a first inclusion complex of  $\beta$ CD/MGS in polymer system, Phunpee et al. prepared chitosan bearing  $\beta$ CD and showed a potential as a carrier of MGS [39].

Among many CD-based nanoparticles (CDNPs), a CD-containing hyperbranched polymer prepared via polyaddition reaction between CD and epichlorohydrin (ECH) is capable of incorporating many CDs into the polymer. This synthesis method is a very simple, and the nanoparticles are expected for practical applications [40].

## I. 5 Outline of thesis

In this thesis, we create cyclodextrin-based hyperbranched nanoparticles (CDNPs) that their size is suitable for using EPR effect in order to solve two main problems of MGS. Thus, the aims of this work are (i) enhance the solubility of MGS in aqueous solution; in other words, CDNP was expected to enable to encapsulate MGS; (ii) CDNP containing MGS shows anticancer efficacy.

Chapter II following this introductory chapter presents synthesis and characterization of cyclodextrin based-hyperbranched nanoparticles by dynamic and static light scattering (DLS and SLS) measurements. In Chapter III, we investigate the ability of encapsulating MGS in aqueous solution, explore the interaction of CD and MGS as well as monitor drug release of MGS from CDNPs. Chapter IV presents anticancer efficacy of nanoparticle encapsulating MGS through *in vitro* and *in vivo* experiment. This thesis ends with Chapter V, which summarizes all major findings and conclusions derived.

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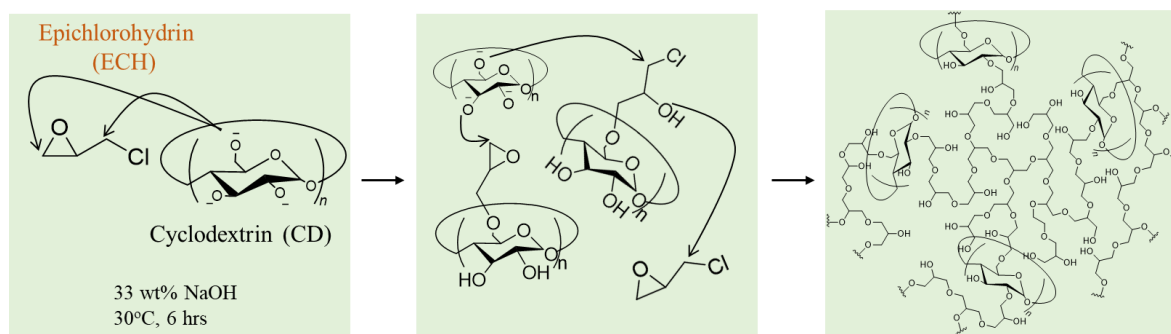
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## Chapter II: Synthesis and characterization of Cyclodextrin-based hyperbranched nanoparticles (CDNPs)

### II. 1 Introduction

This chapter begins describing synthesis procedure of cyclodextrin-based hyperbranched nanoparticles (CDNPs). The synthesis procedure of CDNPs was similar to the reported method in Renard et al study [1]. In a basic condition of pH = 13, the hydroxyl group in CDs are activated and reacted with the 1 or 3 carbon of ECH. When one carbon is nucleophilically attacked, new OH group is created and continues the reaction, resulting in formation of a hyperbranched polymer including CDs (Figure 2-1). This reaction mechanism means that the feeding weight ratio of ECH to CD (ECH/CD) is an important factor in controlling the molecular weight and the particle size [2].



**Figure 2-1.** Synthesis scheme of cyclodextrin-based hyperbranched polymers (CDNPs) through polyaddition reactions with ECH.

We then present characterization results from light scattering technique to obtain properties of CDNPs such as weight-average molecular weight ( $M_w$ ), hydrodynamic radius ( $R_h$ ). In terms of weight percent of CD in one CNP molecule ( $\text{wt\%}_{\text{CD}}$ ) and number CD in one CNP molecule ( $N_{\text{CD}}$ ), we use acid sulfuric/phenol method for total carbohydrates. The most important aim of this chapter is to study how feeding weight ratio ECH/CD affects these nanoparticle properties.

### II. 2 Experimental procedures

#### 2. 1. Material

$\alpha$ -,  $\beta$ -,  $\gamma$ CD, epichlorohydrin, and other solvents ( $\geq 99\%$ ) were purchased from Tokyo Chemical Industry Co., Tokyo Japan and used without further purification.

## 2. 2. Synthesis of CDNPs

All steps for synthesis was conducted as follows: 2 g of CD was added into 6.4 mL of 33 wt% NaOH solution and was stirred until CD was completely dissolved. Epichlorohydrin (2.4, 4.8, 7.2, 9.6, and 12.0 mL for  $\beta$ CD and 2.4, 4.8, and 7.2 mL for  $\alpha$ - and  $\gamma$ CD) was added to the reaction solution. The reaction solution was allowed to heat to 30°C and vigorously stirred for 6 hours, and then stopped by addition of acetone. The product precipitated, and then the unreacted ECH was decanted. The precipitant was dispersed in water and the solution was neutralized with 12N aqueous HCl. The polymer solution was purified by dialysis for 2 days using the membrane (of  $M_w$  cut-off 3500). During the dialysis, water-undissolved components were precipitated and we used the supernatant that was supposed to contain CDNPs. Three types of CD were used for the reaction with the various amount of epichlorohydrin in this study.

## 2. 3. Characterization techniques

SEC-MALS measurement was carried out for the CDNPs using Shodex column (SB802.5 and SB806) at 40°C with 10 mM aqueous NaCl as the eluent. We prepared the sample solution of 1 mg/mL in 10 mM aqueous NaCl. The solution was optically purified with PTFE membrane with 0.2  $\mu$ m pore and injected it into the column. The output from the column was passed sequentially through a MALS detector (Wyatt Technology, Dawn HeleosII, wavelength:  $\lambda = 658$  nm) and an RI detector (Wyatt Technology, 1.2-1.8 RIU, wavelength:  $\lambda = 488$ -690 nm). By use of MALS, the Rayleigh ratio ( $R_\theta$ ) was determined as a function of the scattering angle  $2\theta$ . We determined the specific refractive index increments ( $\partial n/\partial c$ ) of the CDNPs in 10 mM aqueous NaCl with a differential refractometer (Otsuka Electronics DRM-1020, wavelength:  $\lambda = 633$  nm). The sample concentration at the MALS detector was at most 1 mg/mL. It is dilute enough to neglect the concentration dependence of the scattering intensity. Therefore,  $R_\theta$  can be given by:

$$\frac{Kc}{R_\theta} = \frac{1}{M_w} \left[ 1 + \frac{R_g^2}{3} (q)^2 + O(q^4) \right] + 2A_2c + O(c^2) \quad (3)$$

Here,  $K$ ,  $M_w$  and  $R_g$  are the optical constant containing  $\partial n/\partial c$ , the weight-averaged molecular weight, and the z-averaged radius of gyration. The magnitude of the scattering vector  $q$  is determined by  $q = (4\pi/\lambda) \sin \theta$ . We used dynamic light scattering (DLS) to determine the hydrodynamic radius ( $R_h$ ).

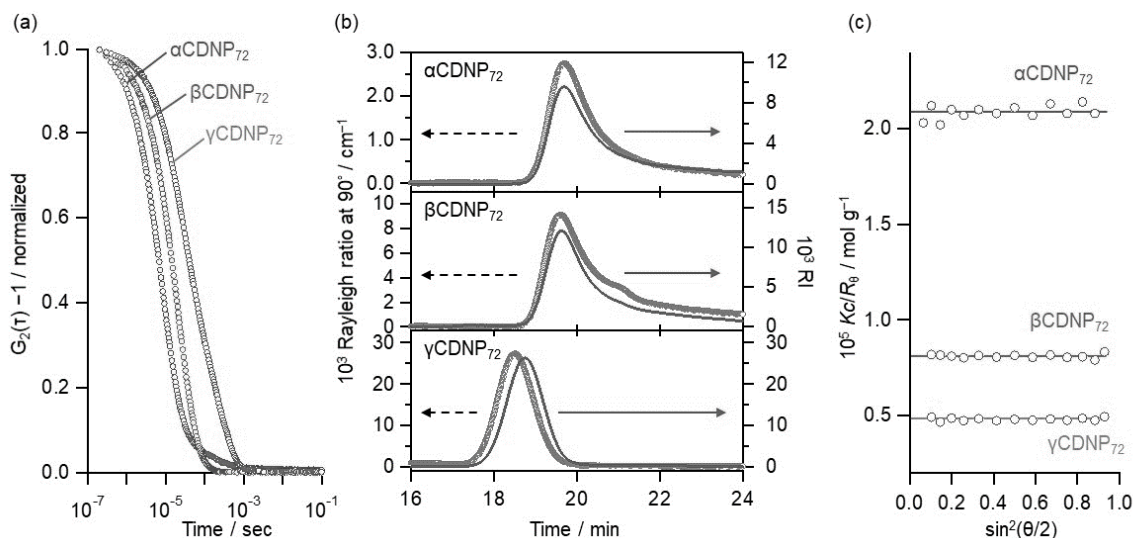
## 2. 4. Determination of carbohydrate concentration in CDNPs

CD weight percent in CDNPs was determined by the phenol sulfuric acid method [3]. Dried CDNP (0.025 g) was refluxed at 100°C for 8 hours in 0.5 M H<sub>2</sub>SO<sub>4</sub> (15 mL) in order to break down the reacted CD to pentose. Then, solution was reacted with phenol 5% (w/w) and concentrated H<sub>2</sub>SO<sub>4</sub>. The final product turned into brown-orange color and the absorbance was detected by UV-Vis spectrophotometer (Jasco, V-630) at 488 nm and the absorbance was converted into the CD weight percent (wt%<sub>CD</sub>) by use of a calibration curve established glucose D(+) beforehand. Here, we confirmed that polymerized ECH did not show any absorbance after we treated them in the same manner. From the CD weight percent and  $M_w$  of CDNP, we calculated the number of CD in one CDNP particle ( $N_{CD}$ ).

## II. 3 Results and Discussion

### 3. 1. Characterization of CDNPs

Table 2-1 shows the sample code and the feeding weight ratio used in our experiment.



**Figure 2-2.** Examples of the particle characterizations for the series of CDNP<sub>72</sub>. Autocorrelation function by use of DLS (a), SEC-MALS fractogram (b), and Zimm plot at the LS peak top of the fractogram (c).

The prefix and suffix of the sample codes in Table 2 indicate the type of CD and the amount of ECH, respectively. Figure 2-2 shows the autocorrelation function obtained by DLS, SEC-MALS fractogram, and the Zimm plot at the peak top for the series of CDNP<sub>72</sub> (the other results are shown in Figure S1 and S1). In the panel a, the autocorrelation functions

show unimodal feature in particle size and  $R_h$  at the peak top was listed in the Table 2-1. The panel b compares the chromatograms of the light scattering intensity at 90 degree and RI for the three samples.  $\alpha$ CDNP<sub>72</sub> and  $\beta$ CDNP<sub>72</sub> showed a shoulder at the lower molecular weight, while  $\gamma$ CDNP<sub>72</sub> did not. The panel c shows the angular dependence of  $R_\theta$  for the same three samples. Extrapolating  $q \rightarrow 0$ ,  $M_w$  was determined for all samples and listed in Table II-1. The angular dependence of the  $R_\theta$  was negligibly small for all CDNPs, suggesting that the radius of gyration ( $R_g$ ) may be 10 nm or less.

**Table 2-1.** Sample codes and properties of nanoparticles

Sample code	Feeding weight ratio	$M_w$ [g/mol]	$R_h$ [nm]	wt% <sub>CD</sub> [%]	$N_{CD}$
$\alpha$ CDNP <sub>24</sub>	1.42	$4.58 \times 10^4$	1.82	77.0	38.5
$\beta$ CDNP <sub>24</sub>		$7.95 \times 10^3$	1.44	99.5	7.0
$\gamma$ CDNP <sub>24</sub>		$6.79 \times 10^3$	1.53	92.6	4.8
$\alpha$ CDNP <sub>48</sub>	2.84	$4.87 \times 10^4$	2.67	52.4	25.7
$\beta$ CDNP <sub>48</sub>		$9.68 \times 10^4$	4.10	55.6	47.4
$\gamma$ CDNP <sub>48</sub>		$8.53 \times 10^4$	4.10	56.4	37.1
$\alpha$ CDNP <sub>72</sub>	4.26	$4.77 \times 10^4$	2.65	51.9	25.5
$\beta$ CDNP <sub>72</sub>		$1.44 \times 10^5$	5.43	50.9	64.5
$\gamma$ CDNP <sub>72</sub>		$1.81 \times 10^5$	5.25	52.0	72.7
$\beta$ CDNP <sub>96</sub>	5.68	$2.80 \times 10^5$	6.15	45.8	112.9
$\beta$ CDNP <sub>120</sub>	7.10	$1.26 \times 10^5$	4.62	46.3	51.4

$M_w$ : Weight-average molecular weight

$R_h$ : Hydrodynamic radius

wt%<sub>CD</sub>: CD weight percent in one CDNP molecule

$N_{CD}$ : Number of CD in one CDNP molecule

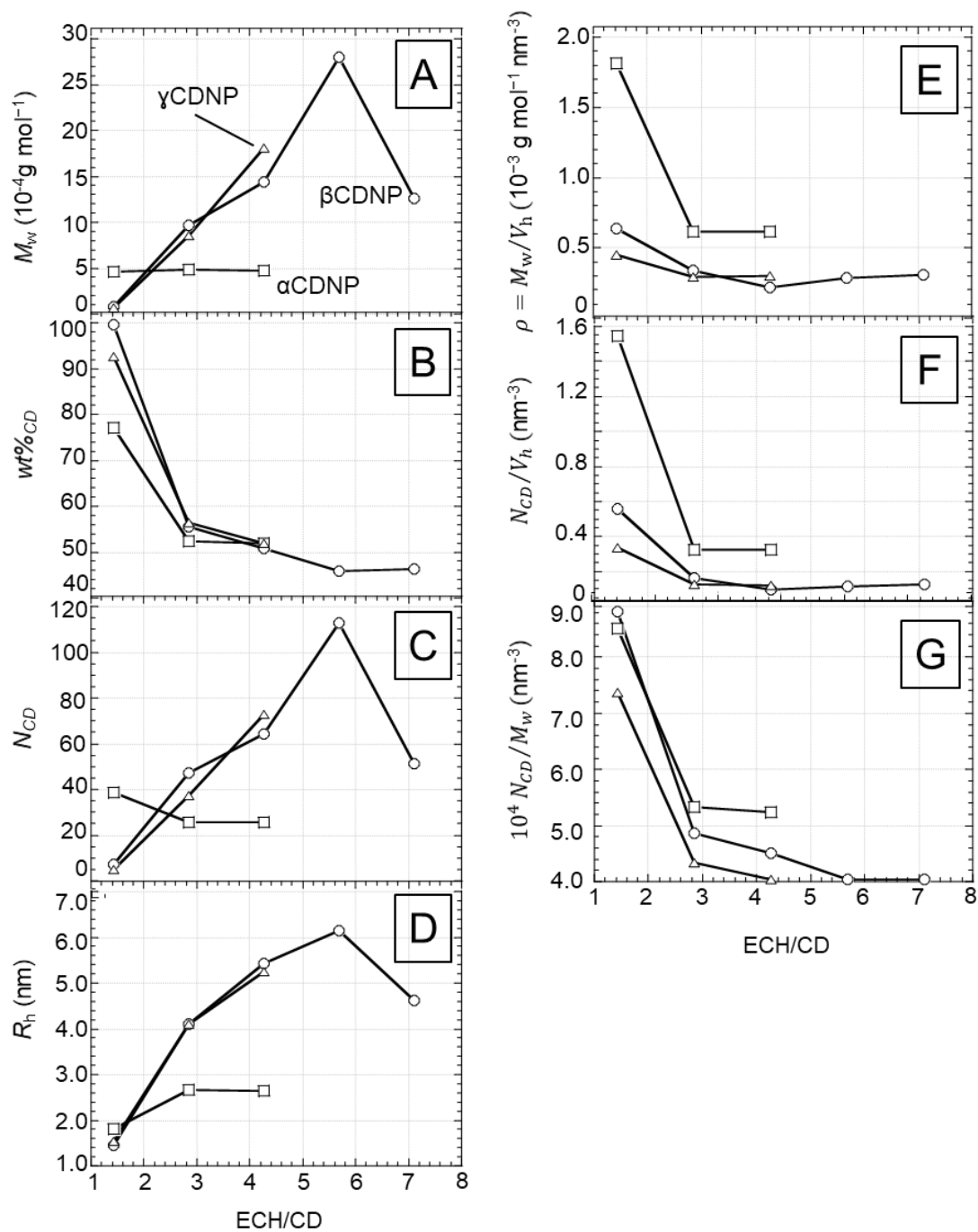
### 3. 2. The effect of Epichlorohydrin/Cyclodextrin on properties of CDNPs

Figure 2-3 plots  $M_w$ ,  $N_{CD}$ , wt%<sub>CD</sub>, and  $R_h$  against the feeding weight ratio of ECH to CD (ECH/CD). With an increase of ECH/CD, wt%<sub>CD</sub> decreased from 77% to 50% for  $\alpha$ CDNP and 99% to 50% for  $\beta$ - and  $\gamma$ CDNP. These results indicate that the polymerized

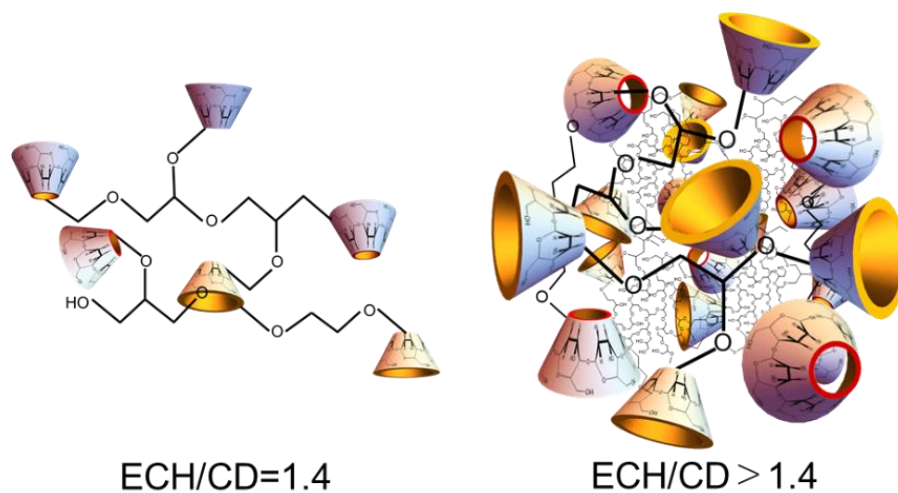
ECHs were just connecting CDs at the feeding weight ratio of 1.42, whereas the polymerized ECHs formed a network between CDs at the other feeding ratio and the network portion increased with an increase of ECH/CD, then appeared to reach about 50%. This morphological difference is schematically illustrated in Figure 2-4. The other three parameters  $M_w$ ,  $N_{CD}$ , and  $R_h$  increased and reached the maximum around ECH/CD=5.68 for  $\beta$ CDNP, while those for  $\alpha$ CDNP were almost constant. This difference between  $\alpha$ CDNP and the others may be owing to that the reaction of ECH went slowdown because the  $\alpha$ CD cavity trapped ECH more tightly than the others. In fact, the binding constant between  $\alpha$ CD and aliphatic ketones are higher than the others [4]. We presume that after ECH reacted with one of the hydroxyl group of  $\alpha$ CD, the aliphatic moiety may go inside of the cavity accompanying the newly activated hydroxyl group and further chain reaction was reduced. When ECH/CD was 7.1, both  $M_w$  and  $R_h$  decreased. This is because that the reaction between ECH itself occurs too much, resulting in producing water-insoluble products. In fact, the yield at this condition was much lower than at other conditions.

We calculated the density  $\rho = M_w/V_h$ , where  $V_h$  is given by  $4/3 \pi R_h^3$ , and the number CD per volume and weight by  $N_{CD}/V_h$  and  $N_{CD}/M_w$ , and plotted them against ECH/CD.  $\alpha$ CDNP showed larger  $\rho$  and  $N_{CD}/V_h$  than the others, reflecting the higher  $wt\%_{CD}$  than the others. For  $M_w$ , all three of  $\alpha$ CDNP<sub>24</sub>,  $\alpha$ CDNP<sub>48</sub>,  $\alpha$ CDNP<sub>72</sub> were appeared almost same, but  $\rho$  of  $\alpha$ CDNP<sub>48</sub> and  $\alpha$ CDNP<sub>72</sub> are lower than  $\alpha$ CDNP<sub>24</sub>, indicating that  $\alpha$ CDNP<sub>48</sub> and  $\alpha$ CDNP<sub>72</sub> are sparser and less compressed than  $\alpha$ CDNP<sub>24</sub>, because these two samples have more ECH network between  $\alpha$ CDs. For  $\beta$ - and  $\gamma$ CDNPs, the decreases in  $\rho$  and  $N_{CD}/V_h$  are less significant than that of  $\alpha$ CDNP. For all samples,  $\rho$  and  $N_{CD}/V_h$  reached almost constant at ECH/CD>3, while  $N_{CD}/M_w$  were still decreasing in that region. When compared between  $\beta$ CDNP and  $\gamma$ CDNP,  $N_{CD}/V_h$  were almost same, but  $N_{CD}/M_w$  of  $\beta$ CDNP was larger than that of  $\gamma$ CDNP, reflecting the difference in the number of OH groups. Generally speaking, once ECH/CD>3,  $\rho$  and  $N_{CD}/V_h$  did not change so much, while the size was increased. This means that in the range of ECH/CD>3, there was no change in how CDs were distributed in the ECH network and how the ECH network were formed or packed, just the size was increasing. As a comparison experiment, when we polymerized ECH without CD, there was no water-soluble component because the obtained hyperbranched ECH polymers contains many ether bonds and a few hydroxyl groups and thus they are hydrophobic. This

fact suggests that the hydrophilic CDs tend to cover the surface of the particle, the inside consists of hyperbranched ECH and some CDs as illustrated in Figures 2-4a and 2-4b.



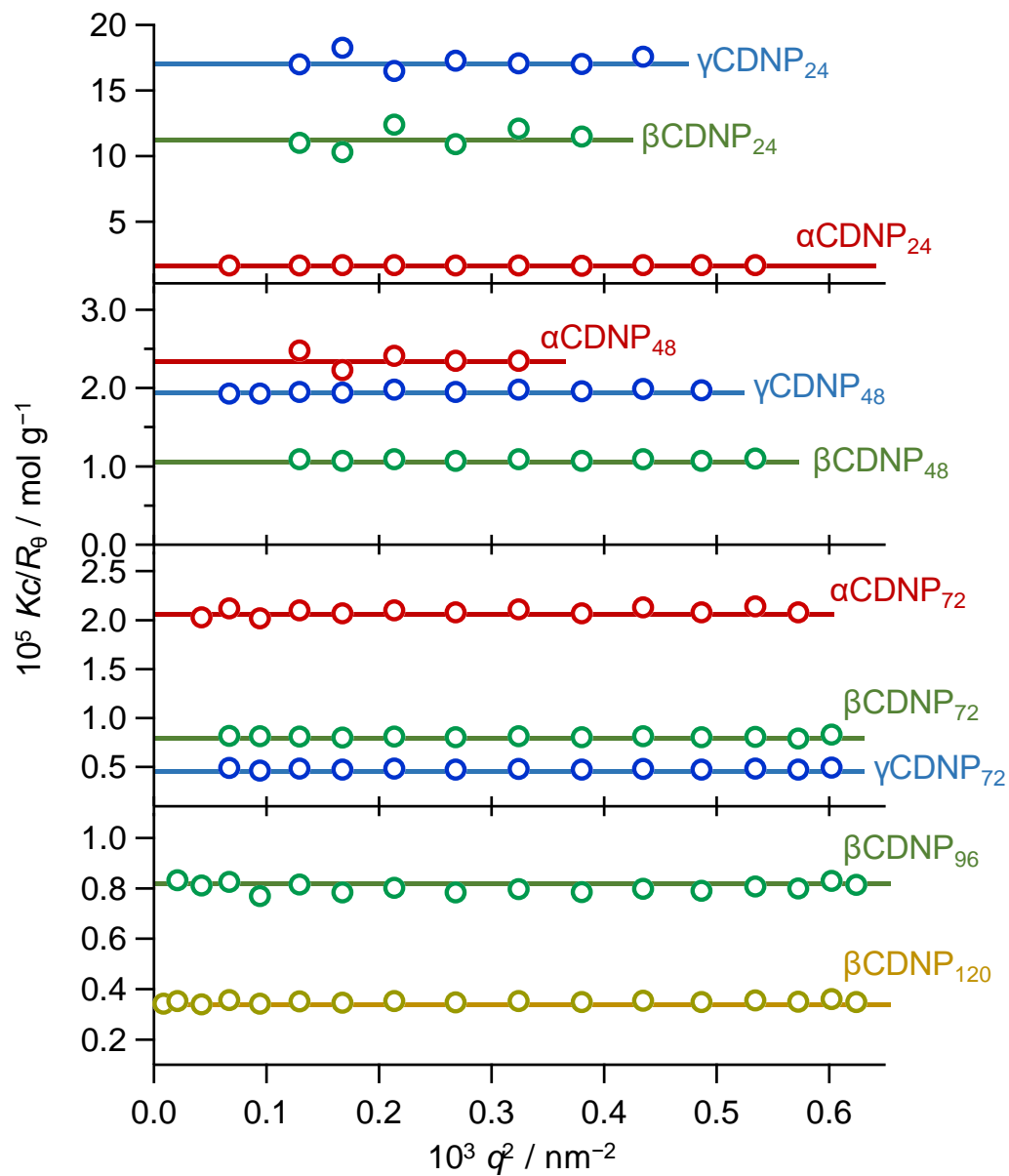
**Figure 2-3.** ECH/CD dependence of  $M_w$  (A),  $\text{wt}\%_{\text{CD}}$  (B),  $N_{\text{CD}}$  (C), and  $R_h$  (D) for  $\alpha$  (square),  $\beta$  (circle), and  $\gamma$  (triangle) CDNP. The density  $\rho$  and the number CD per volume and weight were plotted against ECH/CD in the panel of E, F, and G, respectively. Here the volume of the particle was calculated from  $V_h$  by  $4/3\pi R_h^3$ .



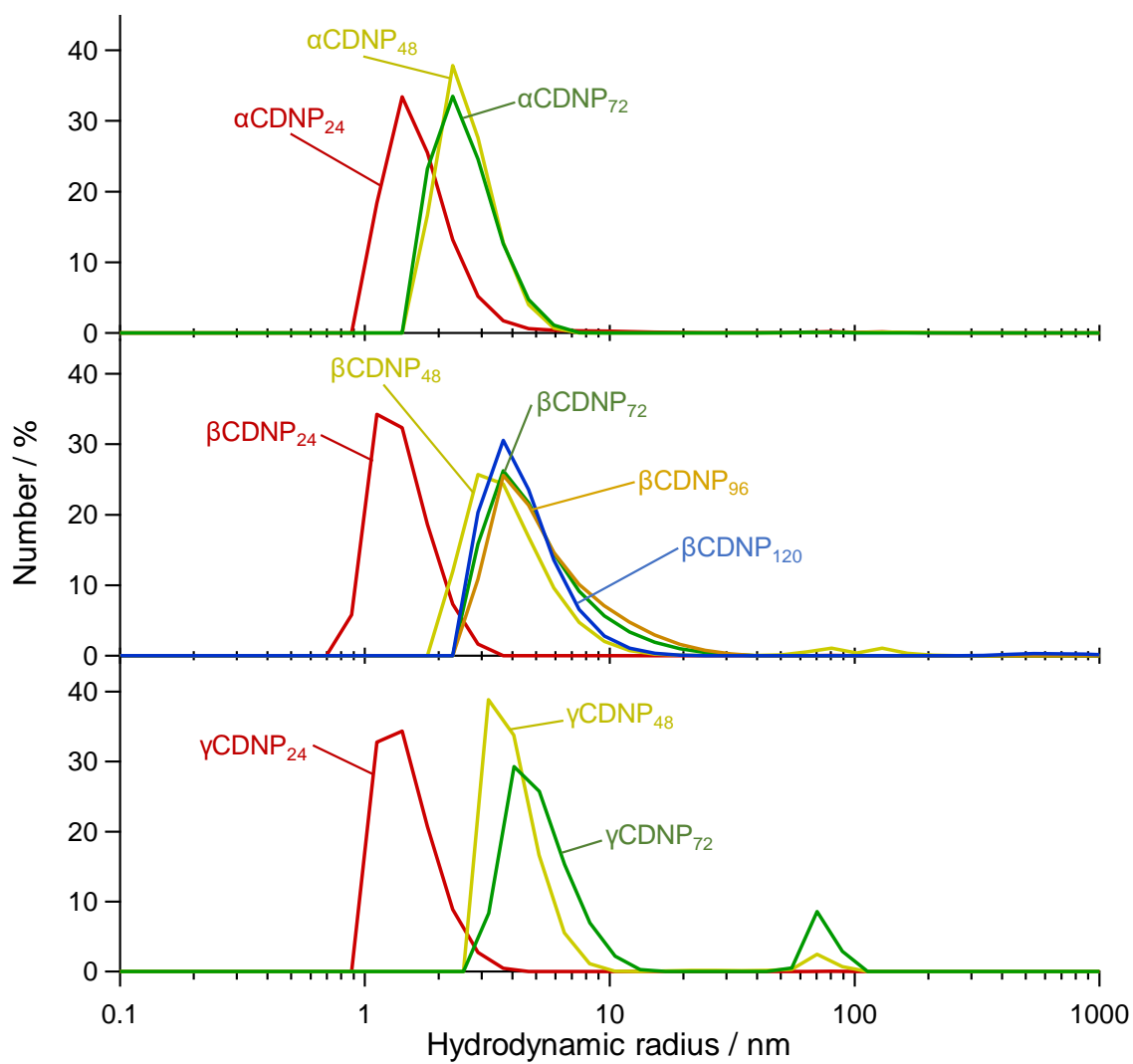
**Figure 2-4.** Schematic illustrations of CDNPs for different ECH/CDs. At ECH/CD=1.4, ECHs are just connecting CDs (truncated cone), while at ECH/CD>1.4 CDs are located at the surface of the particle to make it water-soluble, both the ECH network and some CDs occupy the inside.



## Appendix: Characterization results of series of CDNPs



**Figure 2-A1.** Zimm plot at the LS peak top of the SEC fractogram for the series of the CDNPs.



**Figure 2-A2.** Number size distribtuion of the CDNPs in water.

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## Chapter III. The ability of encapsulating Alpha mangostin in aqueous solution

### III. 1 Introduction

As mentioned in Chapter I, the structure of CDs enables CDs to capture hydrophobic compounds inside them; the resultant complex can still maintain water-solubility, which is very advantageous for delivering hydrophobic compounds to biological systems [1]. Thus, in the preceding chapter, we examine whether CDNPs have the ability of encapsulating MGS or not. Chapter III presents loading ratio of MGS incorporated into native CDs and CDNPs.

Additionally, the inclusion of hydrophobic compounds into CDs involves the typical host and guest supramolecular interaction without covalent bonds [1]. Determining the binding constant for such a host-guest interaction is one of the central issues in supramolecular chemistry. Although techniques such as nuclear magnetic resonance spectroscopy and isothermal titration calorimetry could be useful for this purpose, they may not work or be inappropriate for some compounds when the guest molecule has very poor water-solubility [2]. We use an alternative method which has been used for CDs to evaluate the complexation efficiency between CD and guest molecules [3-6]. The complexation efficiency value can be related the binding constant. The interaction between CD and MGS is presented after loading ratio results.

One of the most advantages of nanoparticles is to control drug release [7]. To end this chapter, drug release profile of MGS in aqueous solution is performed. The release properties will be a prediction about cytotoxic behavior of CDNP/MGS complexes *in vitro* and *in vivo* experiment in next chapter.

### III. 2 Experimental procedure

#### 2. 1. Preparation of CDNP/MGS complex and determination of loading ratio

An MGS in DMSO solution (50  $\mu$ L, 10, 50, and 100 mM) was added to the CDNP solution (450  $\mu$ L, 10 mg/mL). The mixture was kept at room temperature for 2h. After centrifugation (12,000 rpm for 5 min), the upper solution was collected and purified by dialysis (cut-off Mw = 3500 g/mol). The solution was finally filtered. The absorbance of MGS at 323 nm was detected by a UV–Vis spectrophotometer, and the loading ratio of MGS into the CDNPs was determined.

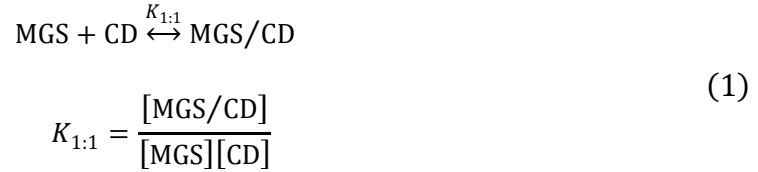
## 2. 2. Field Flow Fractionation coupled with UV and RI (FFF)

To confirm the inclusion of MGS by CDNPs, AF4-MALS measurements were used in this study. They were performed using an Eclipse 3+ separation system (Wyatt Technology Europe GmbH, Dernbach, Germany) at a channel-flow rate of 3 mL/min and a gradient cross-flow. The AF4 system is equipped with a Dawn Heleos II MALS detector (Wyatt Technology) and an Optilab rEX DSP differential refractive index detector with the wavelength of 658 nm (Wyatt Technology).

## 2. 3. Solubility study

### *Theoretical background*

MGS and CDs are assumed to form a 1:1 complex stoichiometrically:



Here,  $K_{1:1}$  is the binding constant. With a given total concentration of cyclodextrin in aqueous solution  $[\text{CD}]_t (\equiv [\text{MGS/CD}] + [\text{CD}])$  and the intrinsic solubility of MGS:  $S_0$  (i.e., the solubility in aqueous medium when no CD is present), the total MGS concentration ( $S_{\text{total}}$ ) in aqueous solutions is given by:

$$S_{\text{total}} = S_0 + \frac{K_{1:1} S_0}{1 + K_{1:1} S_0} [\text{CD}]_t \equiv S_0 + \chi [\text{CD}]_t \quad (2)$$

Eq. 2 shows that, when  $S_{\text{total}}$  is plotted against  $[\text{CD}]_t$ , the plot should constitute a straight line with slope  $\chi$  and intercept  $S_0$ . Therefore, from the slope and the intercept of this plot, we can determine  $K_{1:1}$  from  $K_{1:1} = \chi/[S_0(1 - \chi)]$ . However, MGS shows a very low saturated solution concentration at ambient temperature (c.a.,  $4.95 \times 10^{-10}$  M) [3,8] and thus  $S_0$  determined from the intercept may have large error. Alternatively, we can determine the product of  $S_0 K_{1:1}$  only from the slope. Hereinafter, we define this value as the complexation efficiency (CE). The above method was originally presented by Higuchi and Connor [3] and since then has been used in a several CD related systems [3-6].

### *Experimental methods*

To determine the complexation efficiency, 2.5  $\mu\text{L}$  of MGS (10 mM/L in DMSO) was added to a  $\alpha$ -,  $\beta$ -, or  $\gamma$ CD aqueous solution (497.5  $\mu\text{L}$ ) whose concentration ranged from 1.0 to 20 mM. In CDNP system, 5  $\mu\text{L}$  of MGS (30 mM/L in DMSO) was added to a  $\alpha$ -,  $\beta$ -, or  $\gamma$ CDNP aqueous solution (495  $\mu\text{L}$ ) whose concentration ranged from  $6.25 \times 10^{-4}$  to 0.2 mM. These mixtures were placed at room temperature for 2h and then centrifuged twice at 12,000 rpm for 5 min to remove the precipitated MGS. The supernatants were collected and measured by UV–Vis spectrophotometry to determine the total concentration ( $S_{\text{total}}$ ) of solubilized MGS owing to the presence of CD.

## **2. 4. Drug release profile**

From this section to the end of thesis, we chose CDNPs at weight ratio ECH/CD of 4.26, where 7.2 mL of epichlorohydrin (ECH) was reacted with 2.0 g of CD. As presented in the previous Chapter II, we found that this reaction composition gives the maximum particle size and the highest CD composition without creating a large amount of insoluble components. Hereinafter,  $\alpha$ CDNP,  $\beta$ CDNP, and  $\gamma$ CDNP were used in place of  $\alpha$ CDNP<sub>72</sub>,  $\beta$ CDNP<sub>72</sub>, and  $\gamma$ CDNP<sub>72</sub>.

MGS-incorporating  $\alpha$ CDNP,  $\beta$ CDNP and  $\gamma$ CDNP in 150 mM NaCl solution were placed in a membrane dialysis bag and then the bag was transferred into a flask containing 60 mL of the same buffer solution and kept at 37°C. The bulk solution was continuously stirred with a magnetic stirrer. Solution samples (20  $\mu\text{L}$ ) were withdrawn at selected intervals (0, 1, 2, 4, 6, 18, 24, and 48h) and replaced with equal volumes of fresh buffer. The quantity of released MGS was determined by UV-Vis spectrophotometry at 323 nm.

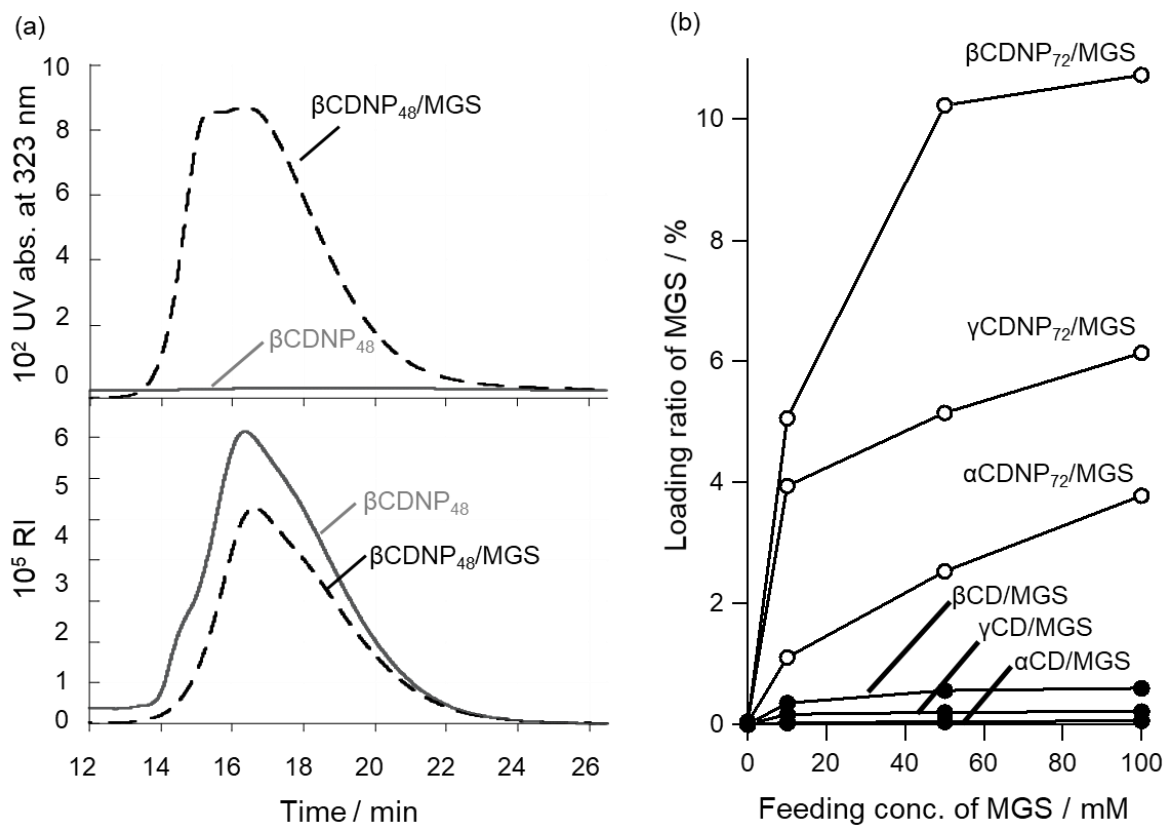
## **III. 3 Results and Discussion**

### **3. 1. Loading ratio of MGS**

Figure 3-1a shows FFF fractograms for  $\beta$ CDNP<sub>48</sub> before and after adding MGS.  $\beta$ CDNP<sub>48</sub> was detected at approximately 16 min by RI, but this peak had no UV absorbance at 323 nm, whereas for  $\beta$ CDNP<sub>48</sub>/MGS, the UV signal appeared at the same peak position as RI, indicating that  $\beta$ CDNP<sub>48</sub> successfully encapsulated MGS. When we tried to determine the loading ratio of MGS to CDNPs from the ratio of the UV and RI peak areas, it was always lower than expected, and there was no reproducibility. We suppose that this is due to the adsorption of MGS onto the cellulose acetate membrane in the FFF channel. This is called the “focusing problem” in FFF. Before starting the fractionation, the injected sample was

placed at a certain position on the channel by use of a combination of backward and forward flows. This process is called “focusing”, and backward and forward flows are tuned to pin the sample on a membrane filter; therefore, the solutes are strongly pushed against the surface of the membrane. When the solutes contain some hydrophobic compounds, they may be transferred and absorbed by the membrane filter. Instead of using FFF, the loading ratio for each CDNP was determined by UV–Vis measurements, assuming no water-solubilized MGS in the solution.

Figure 3-1b plots the loading ratio of MGS against the feeding ratio, compared with those of three CDNP, i.e.,  $\alpha$ -,  $\beta$ -,  $\gamma$ CDNP<sub>72</sub>, and native CDs. Surprisingly, all of the CDNP/MGS complexes showed a much larger loading ratio than CDs themselves. The loading ratio follows the order of  $\beta > \gamma > \alpha$  for both CD and CDNP, which is consistent with the CE values. As expected,  $\beta$ CDNPs always have the best loading ratios (Table 3-1). The maximum loading ratios ( $\text{Max.}L_{\text{MGS}}$ ) of  $\alpha$ -,  $\beta$ -, and  $\gamma$ CD are 0.060, 0.60, and 0.22%, respectively; in other words, the loading ratios of native CDs are slightly less than 1%. However, those of CNPds significantly increased. For example,  $\beta$ CDNP<sub>72</sub>/MGS reached 10.7%, ~17 times as high as  $\beta$ CD/MGS.



**Figure 3-1.** (a) AF4-UV-RI fractogram of  $\beta\text{CDNP}_{48}$  and  $\beta\text{CDNP}_{48}/\text{MGS}$  in 10 mM aqueous NaCl. (b) The dependence of feeding concentration of MGS on the loading ratio of it for CDNPs and native CDs.

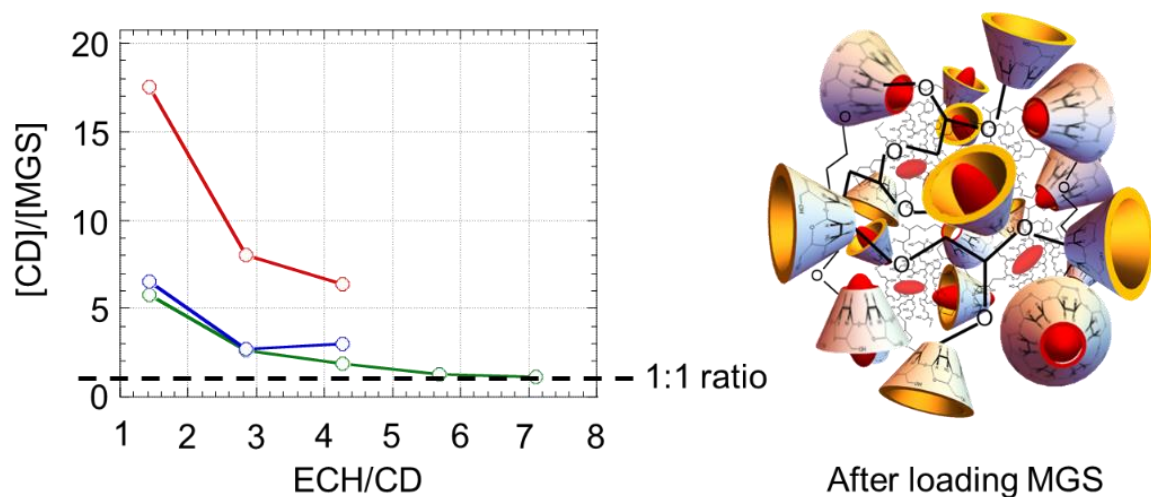


**Table 3-1.** Maximum loading ratio (Max. $L_{MGS}$ ) and molar ratio of CD to MGS in CDNP/MGS complexes

Sample code	Max. $L_{MGS}$ [% wt]	[CD]/[MGS]
$\alpha$ CDNP <sub>24</sub>	2.12	17.5
$\beta$ CDNP <sub>24</sub>	6.23	5.8
$\gamma$ CDNP <sub>24</sub>	5.13	6.5
$\alpha$ CDNP <sub>48</sub>	3.04	8.0
$\beta$ CDNP <sub>48</sub>	8.38	2.6
$\gamma$ CDNP <sub>48</sub>	7.29	2.7
$\alpha$ CDNP <sub>72</sub>	3.78	6.4
$\beta$ CDNP <sub>72</sub>	10.73	1.9
$\gamma$ CDNP <sub>72</sub>	6.14	3.0
$\beta$ CDNP <sub>96</sub>	13.67	1.3
$\beta$ CDNP <sub>120</sub>	15.32	1.1

We already knew the weight percent of CD in CDNP. From this, we calculated how many CDs are involved in the complexation in terms of [CD]/[MGS] and plotted this value against ECH/CD in Figure 3-2. [CD]/[MGS] in the CDNP systems approaches 1 with increasing ECH volume. There may be two possible explanations for this enhanced uptake of MGS by CDNP. One is that the chemical modification of CD with ECH somehow enhanced the binding between MGS and CD. Such an enhancement is sometimes observed, as shown by previous studies [5,9]. For example, it has been observed that the hydroxypropylation of OH groups at the O-2 position of CD results in a more spread out CD configuration. In this case, the binding ability is enhanced. However, the substitution of OH groups at the O-6 position reduces the water density inside the CD cavity [10]. This theory

can explain the result that  $[CD]/[MGS]$  appeared to asymptotically converge to  $[CD]/[MGS] = 1$  at higher ECH/CD values. However, the cavity size of  $\alpha$ CD seems too small to fit MGS, and it is unlikely that even chemical modification will improve the binding between MGS and  $\alpha$ CD. Another explanation is that the hyperbranched ECH network inside the polymer molecule is also so hydrophobic that it might encapsulate MGS as well as the cavity of CDs. Similar behavior has been observed in other cases. Alsbaiee et al. prepared  $\beta$ CD-containing polymers by use of a reaction similar to that of the present study between  $\beta$ CD and tetrafluoroterephthalonitrile [11]. The resultant polymers had a high surface area that could absorb many hydrophobic compounds, and their performance was as good as that of active carbons. Such an increased number of binding sites due to polymerization is called the “expanded pocket”. We can presume that similar phenomena occurred in our system. Figure 3-2b illustrates such additional binding sites created by the ECH network.



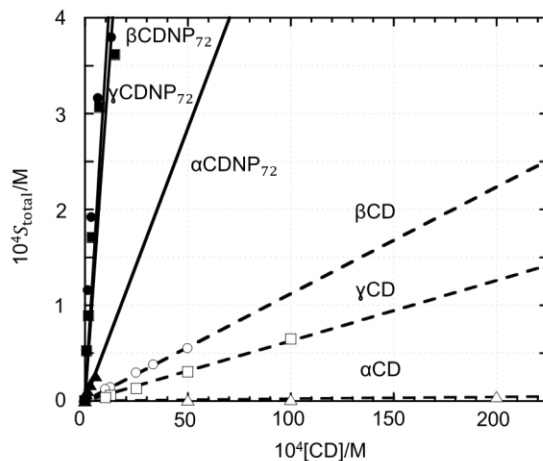
**Figure 3-2.** (a) Relationship between  $[CD]/[MGS]$  and feeding ratio ECH/CD. (b) After loading MGS (red ellipse), CD and the hydrophilic ECH may capture the drugs.

### 3. 2. Interaction between CD and MGS

In section 3.1, CDNPs performed the ability of encapsulating MGS with great loading ratio in aqueous solution. We assumed that the interaction between CDs and MGS was enhanced by polymerization. We considered a simple model of reaction, where one MGS molecule form with one CD molecule in order to evaluate roughly binding constant as described in “Experimental procedure”. Figure 3-3 plots  $S_{total}$  against  $[CD]_t$  for three CDs

and three CDNPs:  $\alpha$ CDNP<sub>72</sub>,  $\beta$ CDNP<sub>72</sub>, and  $\gamma$ CDNP<sub>72</sub>, constructed from  $S_{\text{total}}$  (determined from the UV–Vis spectra for each complex; see Figure 3-A1 to A8) and  $[\text{CD}]$ . The data points for each CD and each CDPNP can be fitted by a straight line passing through the origin, meaning that the interaction between CD and MGS follows a 1:1 ratio ( $A_L$  type), but  $S_0$  was too small to be determined. From the slopes, CE was determined to be  $4.0 \times 10^{-4}$ ,  $1.1 \times 10^{-2}$ , and  $6.5 \times 10^{-3}$  for  $\alpha$ CD,  $\beta$ CD, and  $\gamma$ CD, respectively. These values are summarized in Table 3-2 with those of other compounds.  $\alpha$ CD can hardly solubilize MGS, while  $\beta$ CD and  $\gamma$ CD relatively solubilize it, and  $\beta$ CD showed a larger CE than  $\gamma$ CD, demonstrating that  $\beta$ CD is the most suitable. Our results confirm previous studies. Compared with the values for other compounds, the CE for MGS/CD is not significantly large but is similar to that of cyclosporine A.

In CDNPs system, it is clear that  $\beta$ CDNP showed larger CE than the other two samples. In our previous section, we determined the maximum loading capacity and found the order of  $\beta$ CDNP  $>$   $\gamma$ CDNP  $>$   $\alpha$ CDNP in terms of the affinity for MGS. The result for CE is consistent with the previous ones. Upon carefully examining  $\beta$ CDNP<sub>72</sub>, the data points appeared to exhibit slight convexity upward, instead of being fitted by a straight line. This suggests that 1:1 binding may not be the only mode, as discussed below. Figure 3-3 also shows a significant difference between native CDs and CDNPs. The most important conclusion from Figure 3-3 is that all CE values are dramatically increased (about 100 times) by ECH polymerization, compared with CDs themselves.



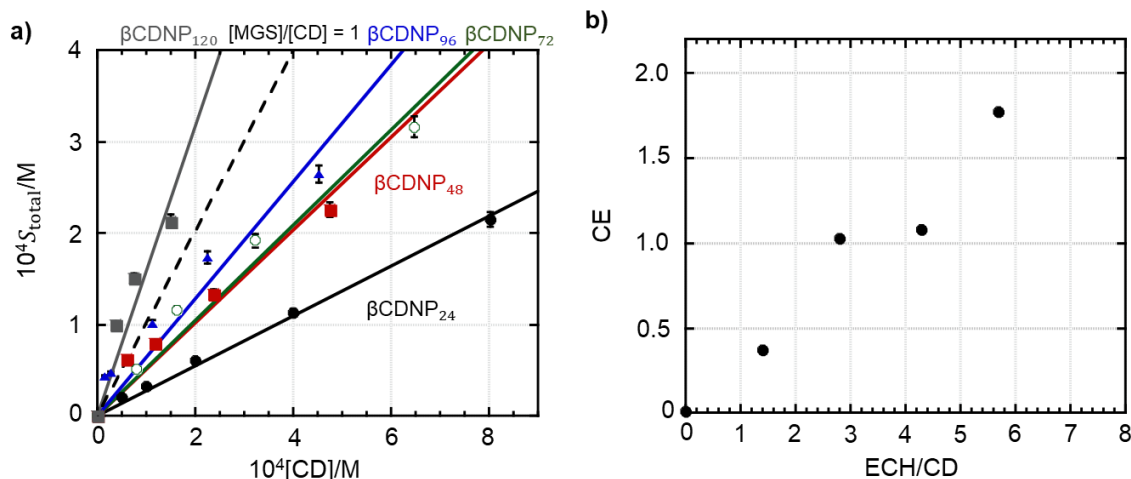
**Figure 3-3.**  $S_{\text{total}}$  and  $[\text{CD}]_t$  plot of MGS-CDNP<sub>72</sub> with curve fit (solid line) and MGS-native CDs with curve fit (dotted line).

**Table 3-2.** The complexation efficacy of some drugs against CDs and their derivatives

Drug/Compound	Cyclodextrin	CE	Reference
MGS	$\alpha$ CD	$4.0 \times 10^{-4}$	This work
MGS	$\beta$ CD	$1.1 \times 10^{-2}$	This work
MGS	$\gamma$ CD	$6.5 \times 10^{-3}$	This work
MGS	$\alpha$ CDNP <sub>72</sub>	0.06	This work
MGS	$\gamma$ CDNP <sub>72</sub>	0.77	This work
MGS	$\beta$ CDNP <sub>24</sub>	0.37	This work
MGS	$\beta$ CDNP <sub>48</sub>	1.03	This work
MGS	$\beta$ CDNP <sub>72</sub>	1.08	This work
MGS	$\beta$ CDNP <sub>96</sub>	1.77	This work
MGS	$\beta$ CDNP <sub>120</sub>	-	This work
Acetazolamide	HP $\alpha$ CD	$1.5 \times 10^{-2}$	[9]
Cyclosporine A	$\alpha$ CD	$1.1 \times 10^{-2}$	ibd
Diethylstilbestrol	HP $\beta$ CD	2.8	ibd
Finasteride	RM $\beta$ CD	0.7	ibd
Progesterone	HP $\gamma$ CD	0.2	ibd
2-hydroxypropyl- $\alpha$ -cyclodextrin (HP $\alpha$ CD); 2-hydroxypropyl- $\beta$ -cyclodextrin (HP $\beta$ CD); randomly methylated $\beta$ -cyclodextrin (RM $\beta$ CD); 2-hydroxypropyl- $\gamma$ -cyclodextrin (HP $\gamma$ CD);			

Figure 3-4a compares different ECH compositions for  $\beta$ CDNP series, showing that the slope increased with an increase of ECH. Figure 3-4b plots the ECH dependence of CE. We have already confirmed that there was no binding between polymerized ECH and MGS

without CD. This was despite CE increasing with increasing ECH, almost linearly. This is an interesting phenomenon.



**Figure 3-4.** (a) The ECH feeding ratio dependence of the solubility in  $\beta\text{CDNP}$  system. (b) The obtained CE was plotted against the feeding weight ratio ECH/CD. The dotted line in (a) is the ideal binding in the case of  $K_{1:1} = \infty$ .

In the extreme case that  $K_{1:1} = \infty$ , meaning that all of the added MGS and CD are interacting, the  $S_{\text{total}}$  vs.  $[CD]_t$  plot should follow  $S_{\text{total}} = [CD]_t$ , which is indicated by the dotted line in Figure III-4a.  $\beta\text{CDNP}_{96}$  appeared to follow the dotted line in the region of with low  $[CD]_t$  and surprisingly  $\beta\text{CDNP}_{120}$  went beyond this limit. These facts indicate that 1:1 binding is not the only mode to capture MGS for  $\beta\text{CDNP}_{120}$  and  $\beta\text{CDNP}_{96}$ , modes of binding at ratio of 2:1 or more of MGS to CD may be involved. This may be the reason for the observed upward convexity from a straight line. At present, we do not have any clear explanation for this, but we can speculate the following: ECH polymerization converts OH groups of CD to ether groups and thus ECH polymerization may increase the hydrophobicity of the upper and lower rims of CD. The OH groups on the rims may interfere with hydrophobic interaction between MGS and the inside of CD. In other words, it may be possible for hydrophobic compounds like MGS to more easily enter the cavity of CD due to the elimination of some OH groups of CD during the ECH polymerization. To support this speculation, the interaction between MGS and modified OH groups in  $\beta\text{CD}$  (2-hydroxypropyl- $\beta\text{CD}$ ) was investigated (Figure 3-A9). It is found that CE of 2-hydroxypropyl- $\beta\text{CD}$ /MGS increased 7 times in comparison with  $\beta\text{CD}$ /MGS, suggesting the modification of the OH group would affect and improve the interaction between  $\beta\text{CD}$  and MGS. However,

this increment was still small compared to that in polymer system, indicating other factors might be involved in the large increment of the binding constant.

With a given literature value for  $S_0$  of MGS ( $4.95 \times 10^{-10}$  M, PubChem) [12],  $K_{1:1}$  is roughly estimated as shown in Table 3-3, compared with other systems including a typical ligand-receptor interaction in biological systems. The  $K_{1:1}$  values for native CD and MGS appear to be equal to or slightly greater than those for typical CD complex systems such as adamantane- $\beta$ CD [13] and cholesterol- $\beta$ CD [14]. As reported, the interaction of drug vs. plasma protein is closely related to the pharmacokinetics and pharmacodynamics or distribution of the drug. When drugs exhibit strong binding to plasma proteins, they may not interact with their target, and may be metabolized or excreted. The binding constants of MGS to human serum albumin (HSA) and human transferrin in plasma were determined to be  $6.48 \times 10^5$ , and  $1.46 \times 10^5$ , respectively, according to Guo et al [15]. Compared with these, the interaction between MGS and CD has a higher binding constant; thus, it might not dissociate in blood circulation and might reduce these weaknesses of plasma protein binding. For the present systems, the binding constant reaches  $10^9$  ( $M^{-1}$ ), being at the same level as antigen-antibody interaction.

**Table 3-3.** The comparison of binding constant between CDNP-MGS and some biological interaction

Interaction	$K$ ( $M^{-1}$ )	Reference
MGS- $\alpha$ CDNP <sub>72</sub>	$1.22 \times 10^8 \pm 4.26 \times 10^6$	This work
MGS- $\gamma$ CDNP <sub>72</sub>	$1.56 \times 10^9 \pm 5.46 \times 10^7$	This work
MGS- $\beta$ CDNP <sub>24</sub>	$7.59 \times 10^8 \pm 2.66 \times 10^7$	This work
MGS- $\beta$ CDNP <sub>48</sub>	$2.08 \times 10^9 \pm 7.29 \times 10^7$	This work
MGS- $\beta$ CDNP <sub>72</sub>	$2.19 \times 10^9 \pm 7.66 \times 10^7$	This work
MGS- $\beta$ CDNP <sub>96</sub>	$3.59 \times 10^9 \pm 1.26 \times 10^8$	This work
1-carboxyadamantane - $\beta$ CD	$2.8 \times 10^4$	Schibilla et al [13]
Cholesterol - $\beta$ CD	$1.7 \times 10^4$	Frijlink et al [14]
MGS - HSA	$7.86 \times 10^5$ (310K)	Guo et al [15]
MGS - TRF	$1.15 \times 10^5$ (310K)	Guo et al [15]
Monoclonal IgG <sub>1</sub> MA2.1 antibodies - HLA 2	$1.2 \times 10^9$	Ways et al [16]
Antiviral antibodies - antigen (influenza viruses)	$10^6$ - $10^{10}$	Frankel et al [17]
IgG - hapten (4-hydroxy-3-nitrophenyl)acetic acid	$10^9$	Tobita et al [18]

HSA: human serum albumin; TRF: transferrin; HLA: human transplantation antigen

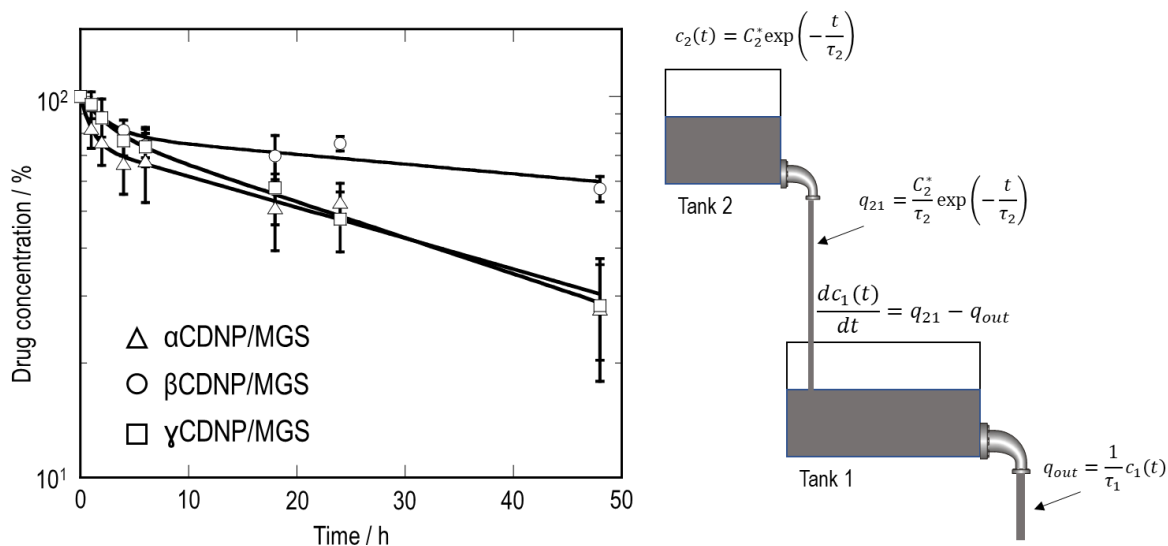
### 3. 3. Drug release profile of MGS in aqueous solution

Among series of cyclodextrin-based hyperbranched nanoparticles, we chose a representative sample including  $\alpha$ CDNP<sub>72</sub>,  $\beta$ CDNP<sub>72</sub>, and  $\gamma$ CDNP<sub>72</sub> (hereinafter,  $\alpha$ CDNP,  $\beta$ CDNP, and  $\gamma$ CDNP, respectively) in this experiment. Figure 3-5 shows a semilogarithmic plot of the concentration of MGS retained by CDNP in 150 mM NaCl at 37°C against time, comparing  $\alpha$ CDNP,  $\beta$ CDNP, and  $\gamma$ CDNP. All samples showed two-step decay: the initial

rapid release and the second slow release. Therefore, we fitted the data by using the following equation consisting of two exponential decays:

$$C(t) = C_1 \exp\left(-\frac{t}{\tau_1}\right) + C_2 \exp\left(-\frac{t}{\tau_2}\right) \quad (3)$$

Here,  $\tau_1$  and  $\tau_2$  are the times to characterize each decay mode or the lifetimes.  $C_1$  and  $C_2$  are the weight fractions of each mode. The solid lines in the figure are the best fitted curves calculated from Eq. 3 and the obtained parameters are summarized in Table 3-4. The obtained  $C_2$  values were in the range of 75%–82 %, indicating that most of MGS was released in this slow mode.  $\tau_1$  is similar in  $\beta$ CDNP/MGS and  $\gamma$ CDNP/MGS, but smaller in  $\alpha$ CDNP/MGS than the others. For  $\tau_2$ , in contrast,  $\beta$ CDNP/MGS shows a value three times longer than the others.



**Figure 3-5.** The profiles of the release of MGS from  $\alpha$ CDNP,  $\beta$ CDNP and  $\gamma$ CDNP in 150 mM NaCl at 37°C, where the solid curves were calculated using Eq. 1 (left) and the two-tank model to mathematically describe their release behaviors (right).



**Table 3-4.** Fitting parameters of release profile

Sample code	C <sub>1</sub> (%)	$\tau_1$ (hr)	C <sub>2</sub> (%)	$\tau_2$ (hr)
$\alpha$ CDNP/MGS	25.7	1.0	74.3	53.6
$\beta$ CDNP/MGS	22.0	2.4	79.2	170
$\gamma$ CDNP/MGS	19.5	2.5	81.8	45.9

The concentration decay that follows a bi-exponential expression such as Eq. 1 is obtained from the model in which two tanks are connected in series, as shown in Figure 3-5. Here, Tank 2 has the initial value of  $C_2^*$  and it decays with the characteristic time of  $\tau_2$ . The inflow from Tank 2 is denoted by  $q_{21}$  and the relationship of  $q_{21} = (C_2^*/\tau_2) \exp(-t/\tau_2)$  holds. The outflow from Tank 1 is  $q_{out}$  and  $q_{out} = c_1(t)/\tau_1$ , where  $c_1(t)$  is the value of Tank 1. The release of MGS from CDNP to NaCl solution corresponds to  $q_{out}$  and the drug concentration measured in Figure 3 is given by  $c_1(t) + c_2(t)$ . Here, the fluxes (i.e.,  $q_{out}$  and  $q_{21}$ ) are assumed to follow the Fick's law of diffusion. Considering the mass balance in Tank 1, we can obtain the following differential equation:

$$\frac{dc_1(t)}{dt} = \frac{C_2^*}{\tau_2} \exp\left(-\frac{t}{\tau_2}\right) - \frac{1}{\tau_1} c_1(t) \quad (2)$$

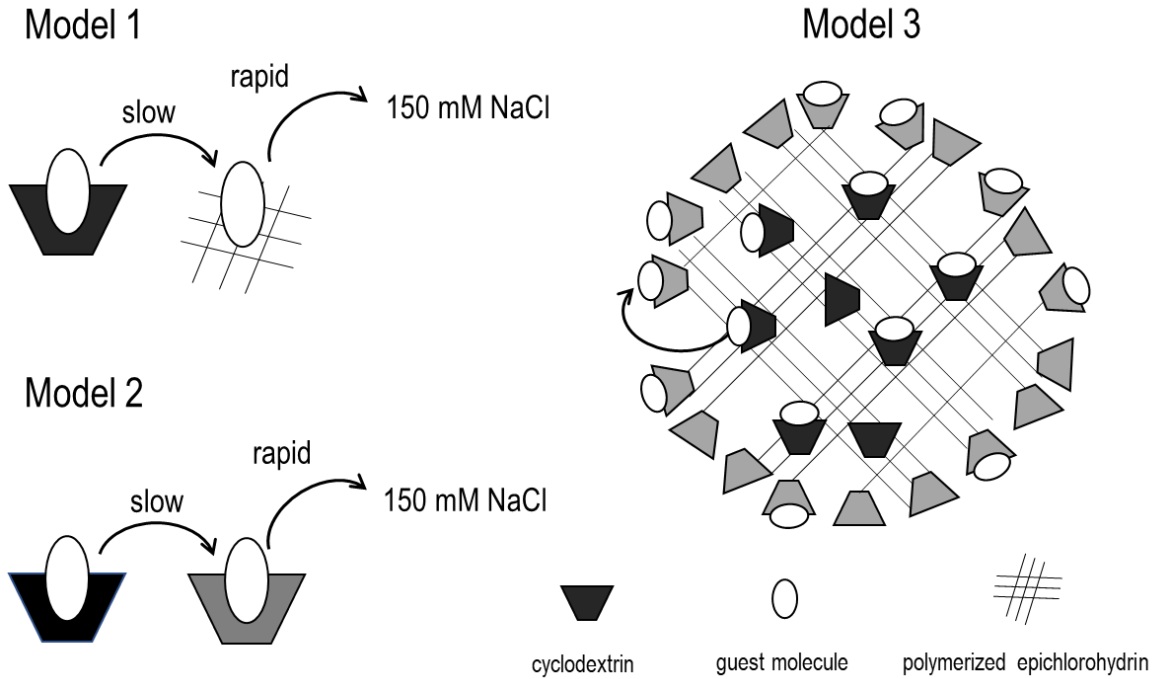
Assuming Tank 1 has the initial value of  $C_1^*$ , this equation gives the following relation:

$$c_1(t) + c_2(t) = C_2^* \frac{\tau_2}{\tau_2 - \tau_1} \exp\left(-\frac{t}{\tau_2}\right) + \left\{ C_2^* \left( \frac{-\tau_1}{\tau_2 - \tau_1} \right) + C_1^* \right\} \exp\left(-\frac{t}{\tau_1}\right) \quad (3)$$

In the case of  $\tau_2 \gg \tau_1$ , Eq. 3 becomes identical to Eq. 1 and  $C_1^* = C_1$  and  $C_2^* = C_2$ . This means that Tank 2 corresponds to the slow release in the experiment. The important conclusion derived from this model is that there is no path to release MGS directly from Tank 2 to the outside, and MGS always has to go through Tank 1 before release.

Another potential model, where we assume that the modified and unmodified CDs individually present in solutions, can provide the two exponential decays. In the model, the modified and unmodified CDs correspond to the CD located inside and surface of CDNPs, respectively. However, when MGS release from internal CDNP, it must go through the surface CD, meaning that the more reasonable model is the two-tank model described in Figure 3-5.

Comparing Table 3-4 and the tank model, 70-80% of MGS is held in Tank 2 in the initial state and the transfer from Tank 2 to Tank 1 determines the second slow release. What molecular mechanism corresponds to each tank and the fluxes from the tanks? We can assume that there are two possible mechanisms, as illustrated in Figure 3-6. First, before release into water, MGS has to escape from CDs and move to the hydrophobic domain, which may be made from polymerized epichlorohydrin (Model 1). Second, there are two types of CD: one exhibits strong MGS binding and the other less strong binding; before release, MGS has to move from the strong one to the less strong one. Our previous study suggested that the polymerized epichlorohydrin has no ability to absorb MGS; therefore, model 2 is more likely. CDs are water-soluble and polymerized epichlorohydrin without CDs is insoluble in water. Therefore, the good water solubility of CDNPs indicates that the surface of CDNPs is coated by CDs. Table 2-1 shows that 50 wt% of CDNP is composed of CDs. Such high content cannot be achieved only by the level of CDs on the surface. It is thus reasonable to assume that many CDs are contained inside the CDNPs. If there are some CDs inside the CDNPs, their OH groups have been converted to ethers during the polymerization and these internal CDs are more hydrophobic than those on the surface. Therefore, we can assume that the internal CDs show more affinity than the surface ones. In this context, we can propose the following model for the release profiles (also illustrated as Model 3 in Figure 3-6). CDNPs consist of two types of CD: internal CDs, which strongly bind to MGS and surface CDs which show less strong binding to MGS. The initial rapid release corresponds to the release from the surface CDs. The internal CDs contain 70% MGS, and these MGSs are slowly transferred from the interior to the surface. This process corresponds to the mass transfer from Tank2 to Tank1 described in Figure 3-5 and no direct release from the interior to water. After MGS is captured by the surface CDs, it is rapidly released into water.



**Figure 3-6.** Two possible basic models to explain the two-step release and the molecular model for MGS release from CDNP.

The binding constant ( $K$ ) data in Table 3-3 indicates that  $\beta$ CDNP and  $\gamma$ CDNP showed similar values of  $K$ . Here  $K$  is given by  $K = [\text{CD/MGS}]/[\text{CD}][\text{MGS}]$ , assuming the following 1:1 reaction:



In this reaction,  $k_d$  and  $k_a$  are the dissociation and association rate constants and  $K = k_a/k_d$  holds. From the release experiment and its mathematical analysis, it is easily deduced that  $\tau_2$  is related to  $[\text{CD/MGS}]$  through  $d[\text{MGS}]/dt = (1/\tau_2)[\text{CD/MGS}]$ . This means that  $k_d = 1/\tau_2$ . The binding constant can be defined in the equilibrium state, while the dissociation and association rate constants show the kinetical properties. The facts of  $K_\beta \sim K_\gamma$  and  $\tau_{2\beta} > \tau_{2\gamma}$  mean that the relationship of  $k_{d\gamma} > k_{d\beta}$  and  $k_{a\gamma} > k_{a\beta}$  hold in Eq. 4, where the subscripts indicate the types of CD. In other words, the concentration of released MGS is almost the same at the equilibrium for both  $\beta$ CDNP/MGS and  $\gamma$ CDNP/MGS, but

$\gamma$ CDNP/MGS more rapidly releases MGS than  $\beta$ CDNP/MGS, with a difference of about four times ( $k_{d\gamma}/k_{d\beta} = \tau_{2\beta}/\tau_{2\gamma}$ ). This difference in kinetics may be ascribed to the difference in cavity size between  $\beta$ CD and  $\gamma$ CD. Hotarat et al. carried out a computational simulation for interaction between  $\beta$ CD and MGS and suggested that  $\beta$ CD is more suited to ingesting MGS than other CDs [19].

## Summary

CDNPs encapsulated MGS as expectation. Surprisingly, CDNPs showed a higher loading ratio of MGS than native CDs. It is suggested that both the cavities of CDs and the hyperbranched polymer network in CDNPs play a critical role in the inclusion of MGS. These factors could affect the affinity between CDNPs and MGS.

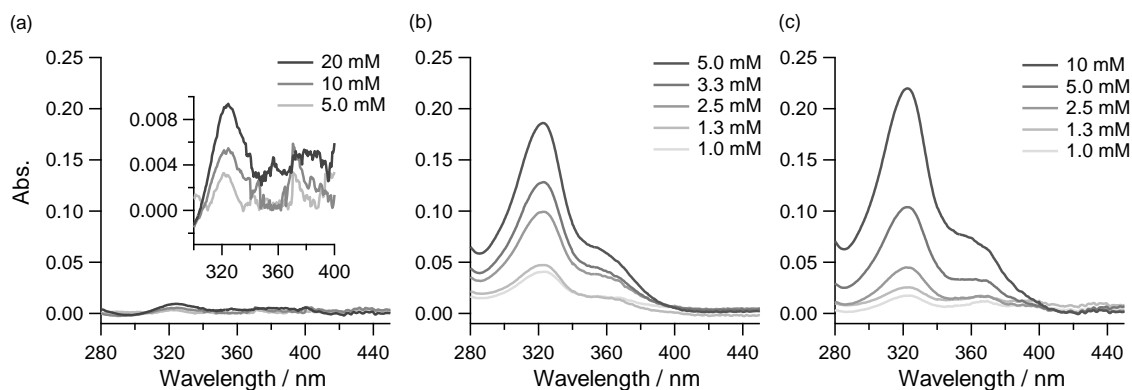
Considering simple model of reaction between CD and MGS, CDNPs show almost 100 times higher CE than native CDs in binding MGS; in other words, binding constant  $K_{1:1}$  of interaction between CD and MGS increased 100 times by polymerization. These results are consistent with enhancement of loading ratio. Besides, it was found that (i)  $K_{1:1}$  in CDNP/MGS is at the same magnitude as typical ligand-receptor interaction in biological systems. (ii) It is supposed that the reason for the enhanced binding constant may be that the ECH polymerization increases the hydrophobicity of CD rims to make it easier for MGS to enter the cavity. We also believe that the present binding constant analysis provides new insights for the application of CDNPs to novel drug delivery systems for hydrophobic drugs.

As one of the most essential issues, we studied drug release profile of MGS from CDNPs. The release of MGS can be divided into two modes: initial rapid release and second slow release. Following bi-exponential equation, in initial rapid release, just 20-25% MGS escaped from CDNPs within short time 1-2 hours ( $\tau_1$ ). The vast amount of MGS released from CDNPs in slow mode within longer time  $\tau_2$ . We proposed model mechanism for these observations. There might be two types of CD existed in CDNPs. One is internal CD which is modified and has strong binding with MGS. The other CD is located on the surface of CDNPs which is less much modified and has less strong binding. In initial mode, MGS captured by surface CDs is released. In second mode, MGS is slowly transferred from internal CDs to surface CDs, then goes to aqueous environment.

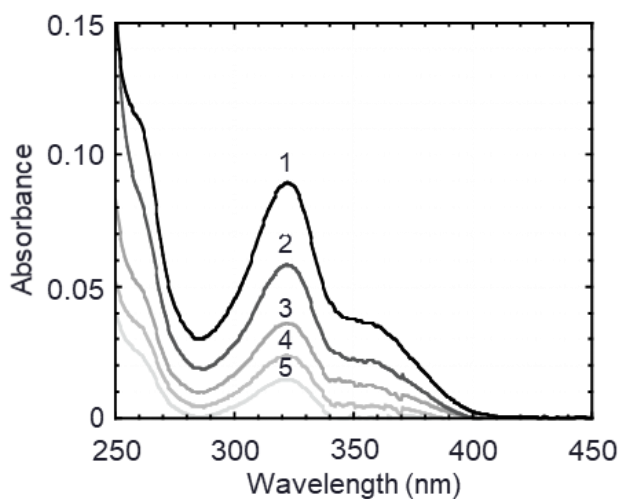
During releasing drug, kinetical properties are considered in place of binding constant at equilibrium state. In this study, it provides that dissociation constant rate ( $k_d$ ) is in inverse proportion to  $\tau_2$ . The shorter  $\tau_2$  is, the larger  $k_d$  is, causing fast dissociation and short retention of capability. Therefore, despite of the similar binding affinity of  $\beta$ - and  $\gamma$ CDNP against MGS in terms of binding constant, the MGS release behavior in  $\gamma$ CDNP system was much faster than that in  $\beta$ CDNP. This is presumably attributable to the large  $k_d$  in the system of  $\gamma$ CDNP due to the relatively large cavity size of the  $\gamma$ CD structure.

Throughout Chapter III, the loading ratio, interaction with MGS and retention of capability are followed by the order:  $\alpha$ CDNP <  $\gamma$ CDNP <  $\beta$ CDNP.  $\beta$ CDNP seem to be the most suitable candidate carrier for MGS.

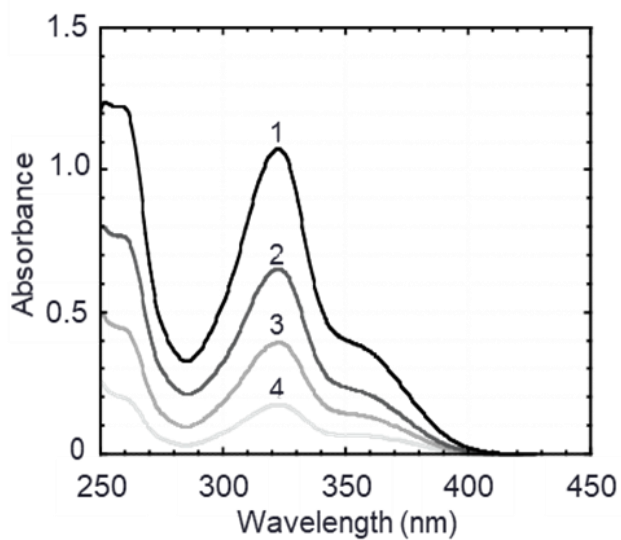
## Appendix



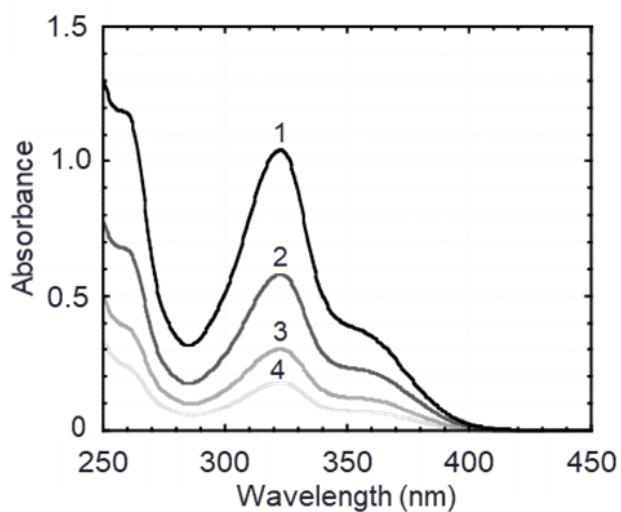
**Figure 3-A1.** UV-vis spectra of the complex of CD/MGS for the system of  $\alpha$ CD (a),  $\beta$ CD (b), and  $\gamma$ CD (c).



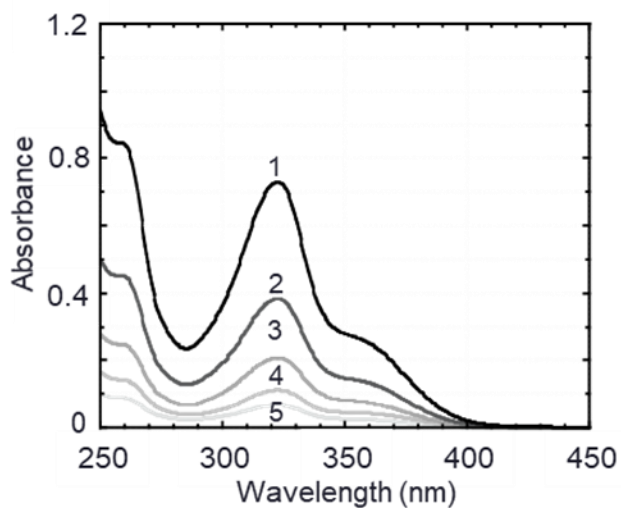
**Figure 3-A2.** UV-vis spectra of the complex of  $\alpha$ CDNP<sub>72</sub>/MGS at various concentrations of  $\alpha$ CDNP<sub>72</sub>: (1) 0.02 mM, (2) 0.01 mM, (3) 0.005 mM, (4) 0.0025 mM, (5) 0.000625 mM.



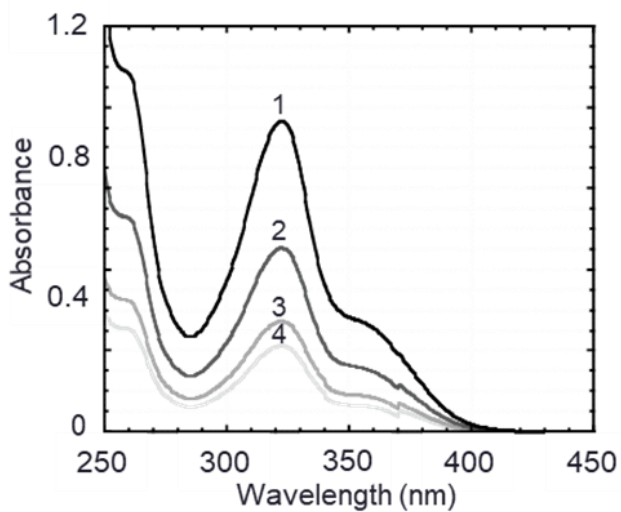
**Figure 3-A3.** UV-vis spectra of the complex of  $\beta$ CDNP<sub>72</sub>/MGS at various concentrations of  $\beta$ CDNP<sub>72</sub>: (1) 0.01 mM, (2) 0.005 mM, (3) 0.0025 mM, (4) 0.00125 mM.



**Figure 3-A4.** UV-vis spectra of the complex of  $\gamma$ CDNP<sub>72</sub>/MGS at various concentrations of  $\gamma$ CDNP<sub>72</sub>: (1) 0.01 mM, (2) 0.005 mM, (3) 0.0025 mM, (4) 0.00125 mM.

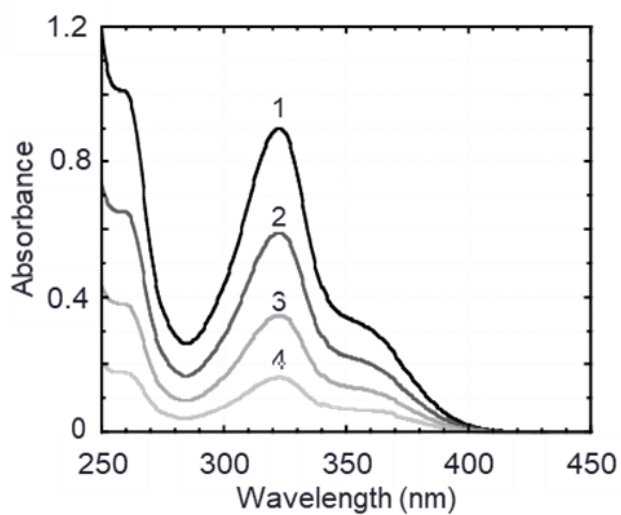


**Figure 3-A5.** UV-vis spectra of the complex of  $\beta$ CDNP<sub>24</sub>/MGS at various concentrations of  $\beta$ CDNP<sub>24</sub>: (1) 0.115 mM, (2) 0.058 mM, (3) 0.029 mM, (4) 0.014 mM, (5) 0.007 mM.

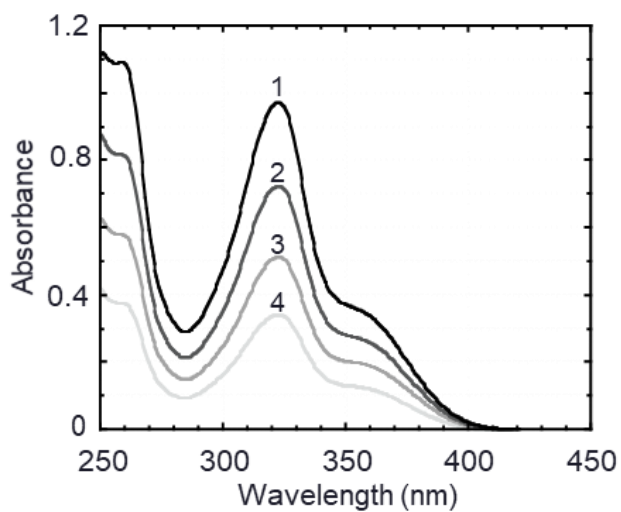


**Figure 3-A6.** UV-vis spectra of the complex of  $\beta$ CDNP<sub>48</sub>/MGS at various concentrations of  $\beta$ CDNP<sub>48</sub>: (1) 0.01 mM, (2) 0.005 mM, (3) 0.0025 mM, (4) 0.00125 mM.

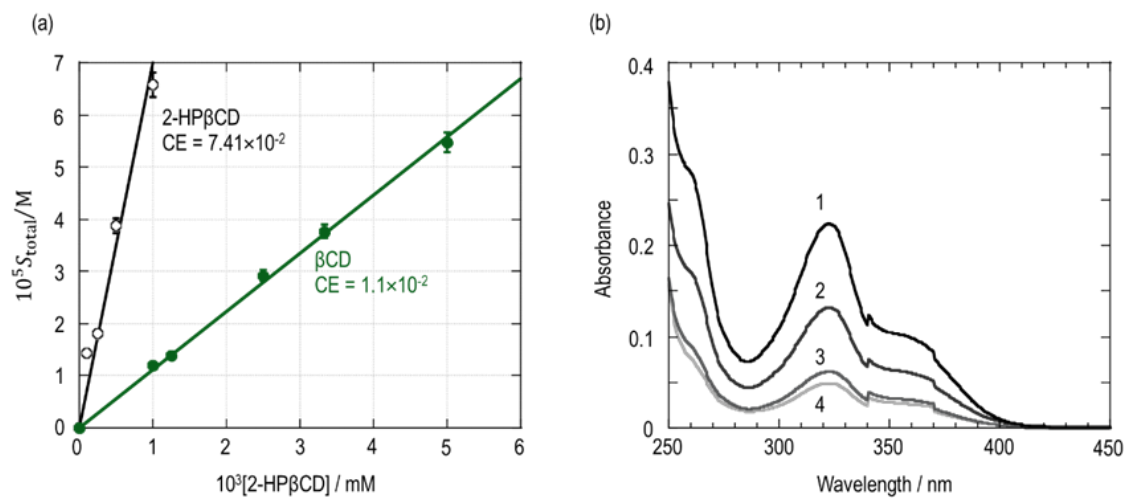




**Figure 3-A7.** UV-vis spectra of the complex of  $\beta$ CDNP<sub>96</sub>/MGS at various concentrations of  $\beta$ CDNP<sub>96</sub>: (1) 0.04 mM, (2) 0.02 mM, (3) 0.01 mM, (4) 0.005 mM.



**Figure 3-A8.** UV-vis spectra of the complex of  $\beta$ CDNP<sub>120</sub>/MGS at various concentrations of  $\beta$ CDNP<sub>120</sub>: (1) 0.01 mM, (2) 0.005 mM, (3) 0.0025 mM, (4) 0.00125 mM.



**Figure 3-A9.**  $S_{\text{total}}$  vs.  $[\text{CD}]_{\text{t}}$  plot of MGS and 2-hydroxypropyl- $\beta$ CD (2-HP $\beta$ CD) and its comparison with native  $\beta$ CD in (a). UV-vis spectra of the complex of 2-hydroxypropyl- $\beta$ CD/MGS at various concentration of 2-HP $\beta$ CD in (b): (1) 1 mM, (2) 0.5 mM, (3) 0.25 mM, (4) 0.1 mM.

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## Chapter IV: Anticancer efficacy of CDNPs containing Alpha mangostin

### IV. 1 Introduction

In chapter III, we studied the ability of encapsulating MGS. Chapter IV will present anticancer efficacy of CDNPs/MGS in both *in vitro* and *in vivo* experiment. In addition to improving MGS solubility, EPR effect is center theory for designing our nanoparticles to deliver MGS effectively to tumor. This means size of CDNPs/MGS should be in range of 10-100 nm in diameter (or 5-50 nm in radius). It is convenient that we use hydrodynamic diameter in this chapter.

### IV. 2 Experimental

#### 2. 1 Dynamic light scattering (DLS)

DLS measurements for the sample solution were performed on a Beckman-Coulter DelsaMax instrument at 25°C and a scattering angle of 171°. The obtained autocorrelation function was analyzed by using the CONTIN method to obtain the hydrodynamic diameter.

#### 2. 2 Cytotoxicity assay

The half-maximal inhibitory concentration ( $IC_{50}$ ) was investigated in monolayer culture and spheroid culture of the CT26WT cell line. In brief, a total of  $10^4$  cells per well were seeded in a 96 well plate for 24h (in the case of monolayer culture) or 96h (in the case of spheroid culture) in a humidified incubator at 37°C and, 5%  $CO_2$ . The cells were treated with various concentrations of MGS. The experiments were conducted in triplicate. Following 24h (monolayer culture) or 48h (spheroid culture) of treatment, cytotoxicity was determined using Dojindo's highly water-soluble tetrazolium salt WST-8 [2-(2-methoxy-4-nitrophenyl)-3-(4-nitrophenyl)-5-(2,4-disulfophenyl)-2H-tetrazolium, monosodium salt], which was provided with Cell Counting Kit-8 (Dojindo Molecular Technologies, Inc.). Absorbance of the formazan dye was measured using a spectrophotometer Multiskan FC (Thermo Scientific), and the results were expressed as the percentage cell viability for tumor cells. The  $IC_{50}$  was defined as the dose of agents that inhibited 50% of cell growth.

#### 2. 3 Tumor-bearing mice

All animal procedures were performed in accordance with the Guidelines for Care and Use of Laboratory Animals of the University of Kitakyushu and approved by the Animal Ethics Committee of the University of Kitakyushu. *In vivo* anticancer activity was evaluated

using CT26WT tumor-bearing mice (the experimental schedule is shown in Figure 4-A1). First, BALB/c mice (7 weeks old, average weight ~22 g) were subcutaneously injected with 100  $\mu$ L of the CT26WT cell line ( $10^6$  cells/mice at 1:1 cell/Matrigel). The tumor volume reached ~ 100 mm<sup>3</sup> after 7 days of inoculation on the flank of mice. The mice were randomized into four groups of four mice each. Sample solutions (0.15 mL) were administered into the mice via intravenous (i.v.) injection. MGS was dissolved in solution containing 0.4% DMSO, 2% ethanol, and 2% Tween80 water and then administered at a dose of 10 mg/kg body weight. CDNP/MGS solutions at the MGS equivalent dose of 10 mg/kg were also i.v. injected. The tumor volume was recorded throughout the experimental period. The tumor volume (mm<sup>3</sup>) was calculated using the formula  $V = (L \times W^2)/2$ , where  $L$  and  $W$  are the length and width of the tumor, respectively. Tumor growth was evaluated by the ratio of tumor volume after intravenous injection relative to that on the day of injection.

#### **2. 4 Tissue distribution**

First,  $\beta$ CDNP was attached with Fluorescein isothiocyanate (FITC) by the following steps: Fifty milligrams of dried  $\beta$ CDNP in 2 mL of DMSO was mixed with 5.5 mg of FITC. The reaction solution was kept at room temperature for 6h under dibutyl dilaurate catalysis. For purification, the reaction solution was precipitated in ethanol. After centrifugation at 100 g for 5 min at 4°C twice, the resultant precipitation was collected and dried. FITC-labeled  $\beta$ CDNP was used in the tissue distribution experiment.

The administration scheme for three BALB/c mice (7 weeks old, average weight ~22 g) was the same as that described in the section on “*in vivo* anticancer efficacy”. At 6 h after intravenous injection, the mice were killed, and their organs and tissues were immediately removed. Weighted samples were homogenized with 1 mL of Tris-HCl buffer (50 mM, pH 8). Samples except for blood in Tris-HCl buffer were centrifuged at 12,000 g for 15 min at 4°C. In the case of blood, samples were centrifuged at 1000 g for 15 min at 4°C. Fluorescence spectroscopy of the obtained supernatant was performed using Fluoroskan FL (Thermo Scientific). The absorbance was converted into milligrams of FITC-labeled  $\beta$ CDNP using a calibration curve established with pure FITC-labeled  $\beta$ CDNP. Data are presented as milligrams of FITC-labeled  $\beta$ CDNP per gram of tissue.

## IV. 3 Results and Discussion

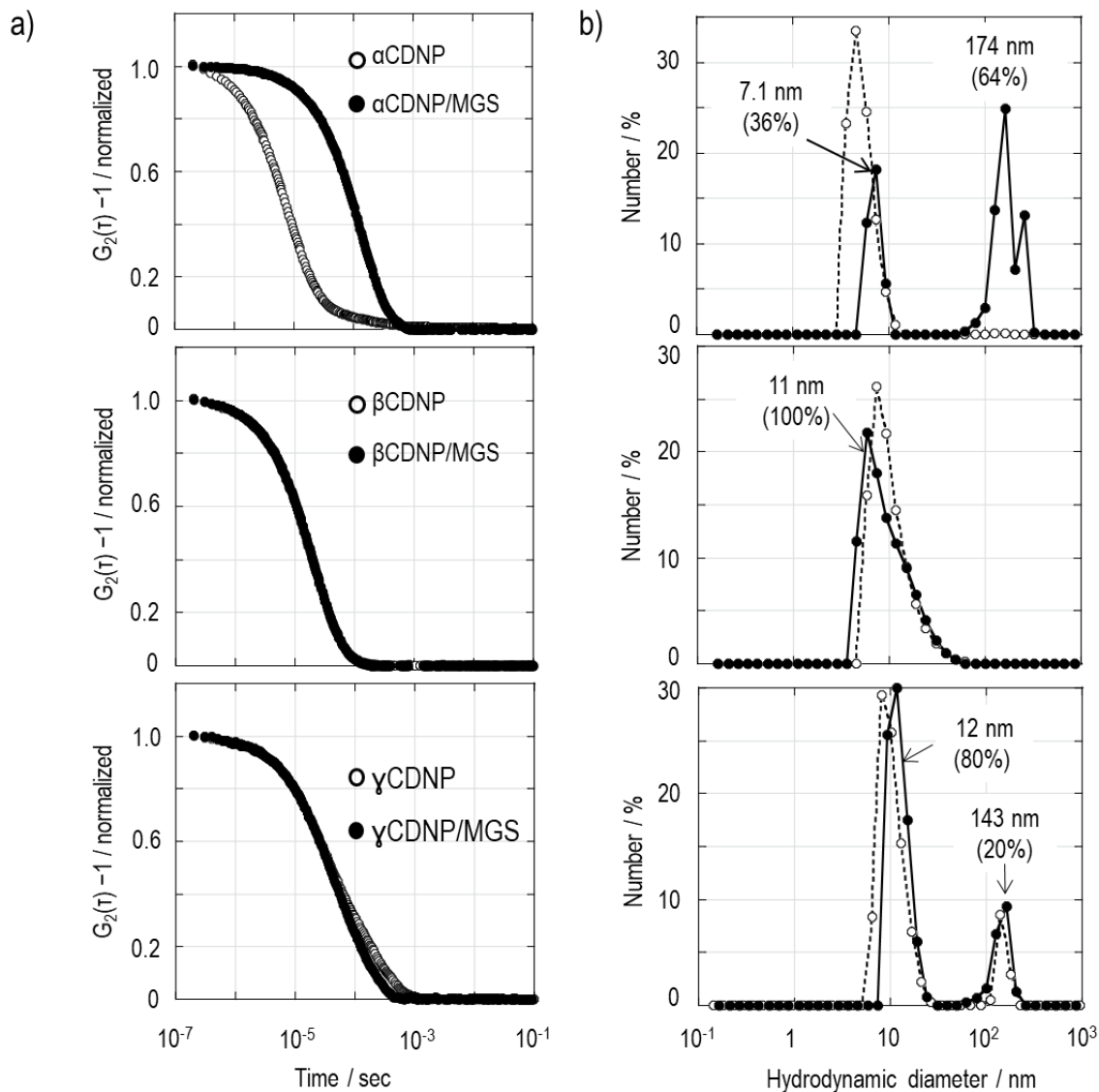
### 3.1. Hydrodynamic diameter of CDNPs containing MGS and optimization of $L_{MGS}$ for *in vivo* assay

The particle sizes of  $\beta$ CDNP and  $\gamma$ CDNP are almost the same and about 10 nm in diameter, which is a good size for delivering particles to tumors by using the EPR effect [1].  $N_{CD}$  is approximately the same for  $\beta$ CDNP and  $\gamma$ CDNP. For  $\alpha$ CDNP, we could not increase  $R_h$  to more than 5–6 nm, or  $N_{CD}$  to more than 20%. We suppose that the alkyl chain of epichlorohydrin was trapped by  $\alpha$ CD during the reaction and that this interaction may have interrupted the reaction. As we found in our previous section, the binding constant between MGS and CDNP is dramatically increased by the polymerization of CD. The affinity between MGS and CD follows the order of  $\beta$ CD >  $\gamma$ CD >  $\alpha$ CD. This order is maintained after the polymerization with all of them showing increase of the binding constant by about 100 times. We are still investigating why such large enhancements occurred, but we assume that the hydrophobicity of the interior of CD in CDNPs increased because the hydroxyl groups of CDs were converted to ethers during the polymerization, which in turn increased the affinity for MGS, thus increasing the binding constant. It is safe to say that  $\beta$ CDNP and  $\gamma$ CDNP are almost the same in terms of particle characters, except for the affinity to MGS.

It would be better to use CDNP/MGS particles with the maximum loading ratio of MGS because it is expected to contain highest concentration of MGS in aqueous solution. However, we found that when we used CDNPs/MGS with Max.  $L_{MGS}$ , CDNP/MGS yielded large aggregates of over 100 nm. These aggregates may be secondary aggregates of CDNP/MGS or aggregates of released MGS. Such large particles are not ideal for an *in vivo* assay because, when the drug is administered through the tail vein, the capillaries become clogged, causing the mouse to die. Before starting the bio-assay, we needed to optimize  $L_{MGS}$  so as not to create large aggregates. We dispersed CDNP/MGS into water at 10 mg/mL and left the solution to stand for a few hours, after which we subjected it to DLS. By repeating this process, we decreased  $L_{MGS}$  step by step from Max.  $L_{MGS}$ , until we did not see any large aggregates of more than 0.1  $\mu$ m by DLS. This loading ratio for the *in vivo* assay is denoted as Opt.  $L_{MGS}$ . These values are  $1.1\% \pm 0.3\%$ ,  $5.3\% \pm 1.0\%$ , and  $4.1\% \pm 0.7\%$  for  $\alpha$ CD,  $\beta$ CD, and  $\gamma$ CD, respectively. Hereinafter, we use CDNP/MGS at Opt.  $L_{MGS}$ .



Figure 4-1a shows the autocorrelation function before and after encapsulating MGS into CDNPs, where the loading wt% of MGS was set at Opt.  $L_{MGS}$ .  $\beta$ CDNP/MGS showed a unimodal distribution with  $D_h \sim 7$  nm and there was no significant change in particle size between before and after the loading. Note that there was no formation of larger aggregate in  $\beta$ CDNP/MGS at Opt.  $L_{MGS}$ .  $\gamma$ CDNP/MGS showed a bimodal distribution, revealing the major component with  $D_h \sim 11$  nm and larger particles as a minor component. This minor component was present before MGS loading and we could not get rid of it by purification. Despite the presence of this component, we did not see any new large aggregate formation after loading MGS and thus decided that  $\gamma$ CDNP/MGS could be used in further assays. For  $\alpha$ CDNP/MGS, even when we decreased  $L_{MGS} < 1$  wt%, we observed the aggregate formation after loading MGS. This may be ascribed to the aggregation of released MGS. The binding constant between  $\alpha$ CDNP and MGS is almost 10 times lower than that of  $\beta$ CDNP/MGS and  $\gamma$ CDNP/MGS. Therefore, we can assume that MGS is more easily and rapidly released from  $\alpha$ CDNP/MGS and aggregates in water to give new peaks around  $D_h \sim 170$ – $180$  nm. This is not ideal for an *in vivo* assay; however, we will evaluate it for comparison.

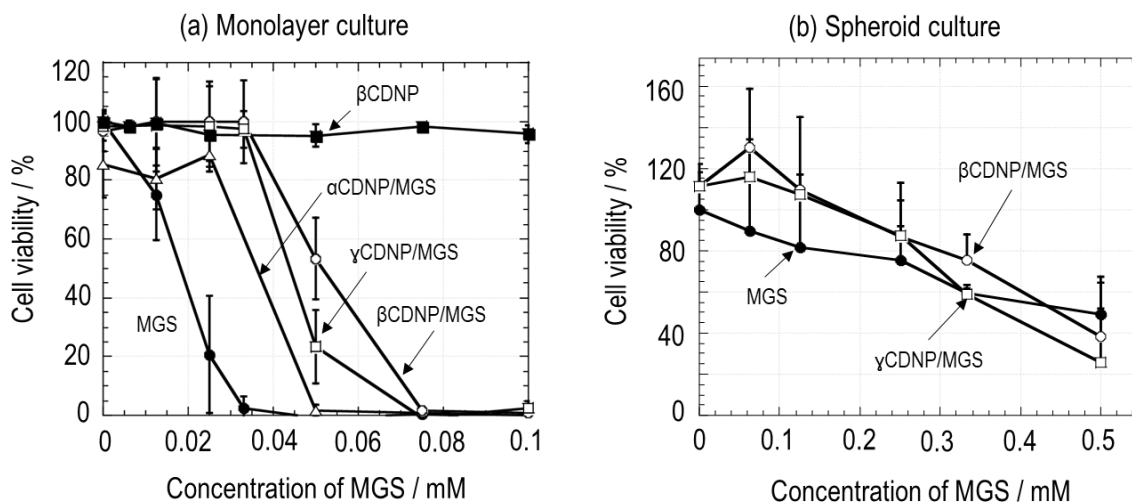


**Figure 4-1.** Comparison of the autocorrelation functions and the particle size distributions before (dotted line) and after (solid line) encapsulating MGS in (b).

### 3.2. *In vitro* experiment

Figure 4-2a plots the cell viabilities of CT26WT against the applied MGS concentration, where the viabilities were measured after 24h of incubation; the figure includes the data when  $\beta$ CDNP alone was applied as a negative control. The  $IC_{50}$  was determined as the MGS concentration that kills half of the cells and is summarized in Table 4-1. A smaller  $IC_{50}$  represents greater cellular toxicity. As expected,  $\beta$ CDNP itself was quite

harmless to the cells. The  $IC_{50}$  of MGS was  $\sim 14.5 \mu M$  and the lowest among the samples. The  $IC_{50}$  values of CDNPs/MGS were higher than that of MGS, and increased in the order of  $\alpha$ CDNP/MGS ( $\sim 27.7 \mu M$ ),  $\gamma$ CDNP/MGS ( $\sim 43.5 \mu M$ ), and  $\beta$ CDNP/MGS ( $\sim 50.4 \mu M$ ). This order is consistent with the retention capability of CDNPs as shown in Figure 3-5 and Table 3-4 (Chapter III). These results can be explained as follows. Once MGS is applied to the cells, it starts to kill them; therefore, naked MGS works the best. For CDNP/MGS, the MGS must first be released from the particle and thus all of the CDNP/MGS showed lower toxicity than MGS. The particles that more easily release MGS show higher toxicity; therefore, the order of the retention capability is opposite to the order of toxicity. The present findings are consistent with previous results in which drug-loaded particles were compared with the drug itself [2,3].



**Figure 4-2.** MGS concentration dependence of the cellular viability (after 24h) of monolayer (a) and spheroid (b) cultured CT26WT cells.

**Table 4-1.**  $IC_{50}$  Value of Free MGS and CDNPs/MGS

	Monolayer culture	Spheroid culture
MGS	$14.5 \pm 1.9$	$483.0 \pm 34.9$
$\alpha$ CDNP/MGS	$27.7 \pm 9.5$	-
$\beta$ CDNP/MGS	$50.4 \pm 13.2$	$449.6 \pm 32.6$
$\gamma$ CDNP/MGS	$43.5 \pm 21.0$	$385.0 \pm 38.7$

Unit is  $\mu M$

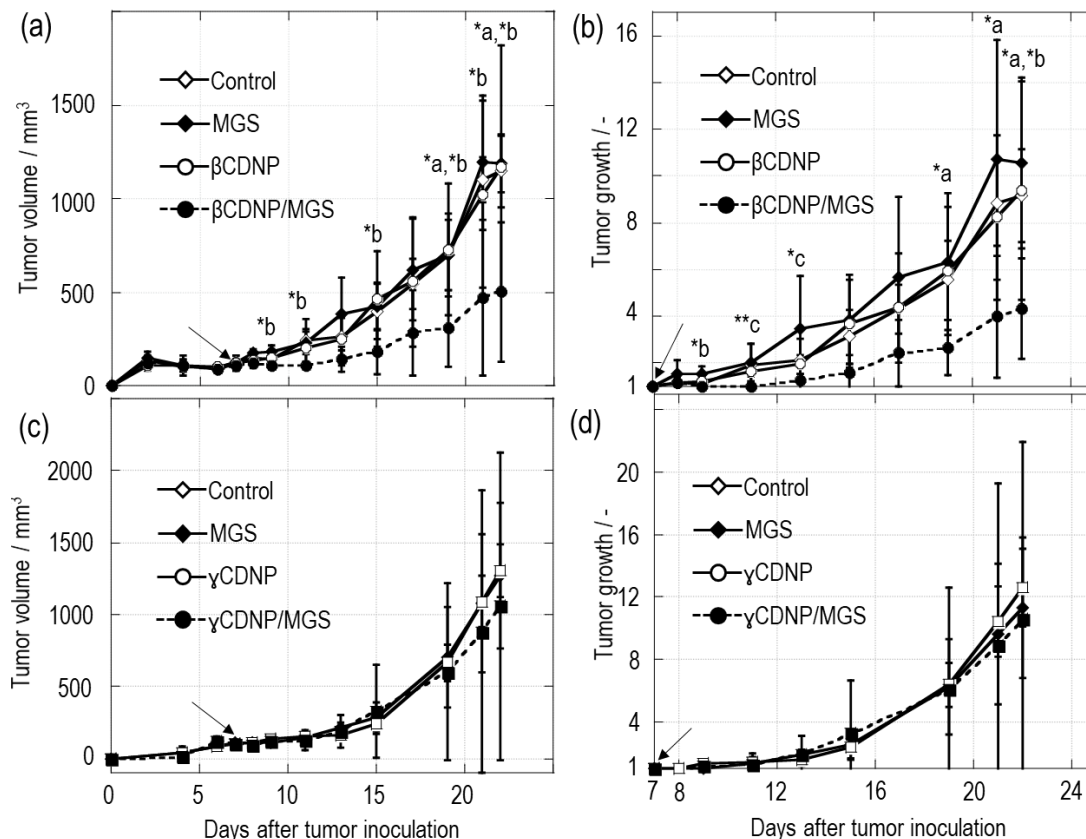
We examined the MGS dose dependence for CT26WT spheroid cells, the results of which are presented in Figure 4-1b. Compared with the monolayer assays, the drug resistance was dramatically increased for all samples.  $IC_{50}$  was determined and is summarized in Table 4-1, with the data showing that  $IC_{50}$  increased about 33 times in MGS and about 9 times in CDNP/MGS. This tendency is consistent with the finding in other reports on drug-carrying nanoparticles [2,3].

Spheroid cells share similar characteristics to solid tumors in terms of their cellular heterogeneity and inter-cellular signals [4]. In contrast to the case for monolayer cells, drugs have to penetrate the cellular layers of spheroids in order to invade inside to kill the cells. In this sense, spheroid cells are also a good model for solid cancers from a drug delivery perspective. Most antitumor drugs such as pirarubicin and doxorubicin are so hydrophobic that they are easily adsorbed by the surface cells of spheroids. This causes a decrease in the drug concentration inside spheroids, which is called “drug penetration problem” [3]. It is assumed that a similar penetration problem occurs in actual solid cancer. Maeda et al. attached several pirarubicin molecules to a water-soluble polymer and diminished the hydrophobicity of the overall particle [2,5]. Their pirarubicin/polymer particle achieved better cellular penetration than pirarubicin itself. In the present study, we can assume that CDNP/MGS deeply penetrated owing to its water solubility by a similar mechanism and showed relatively high drug retention ability, which caused to better cytotoxicity and lower  $IC_{50}$  than for free MGS.

### **3.3. *In vivo* experiment**

Figures 4-3a and 4-3b show changes in the tumor volume and tumor growth ratio after the i.v. injection of free MGS (10 mg/kg) and  $\beta$ CDNP/MGS at the MGS equivalent dose of 10 mg/kg into BALB/c mice bearing CT26WT tumor. Here, the tumor growth ratio is defined as the ratio of the tumor volume at the injection point relative to that at the endpoint. No notable difference was observed among the control,  $\beta$ CDNP, and free MGS groups. For these, the tumor volume and tumor growth ratio increased gradually over a period of 22 days and reached  $1145 \pm 194 \text{ mm}^3$  in tumor volume and tumor growth of about 10 times. Compared with these findings,  $\beta$ CDNP/MGS showed the considerable suppression of tumor growth. At the day 22 end point, the tumor volume was  $501 \pm 372 \text{ mm}^3$  and the tumor growth ratio was about 4. The statistical analysis indicated that there was a statistically significant difference

between these two groups. We performed a similar assay for  $\gamma$ CDNP/MGS and found no notable difference among them as presented in Figures 4-3c and 4-3d.



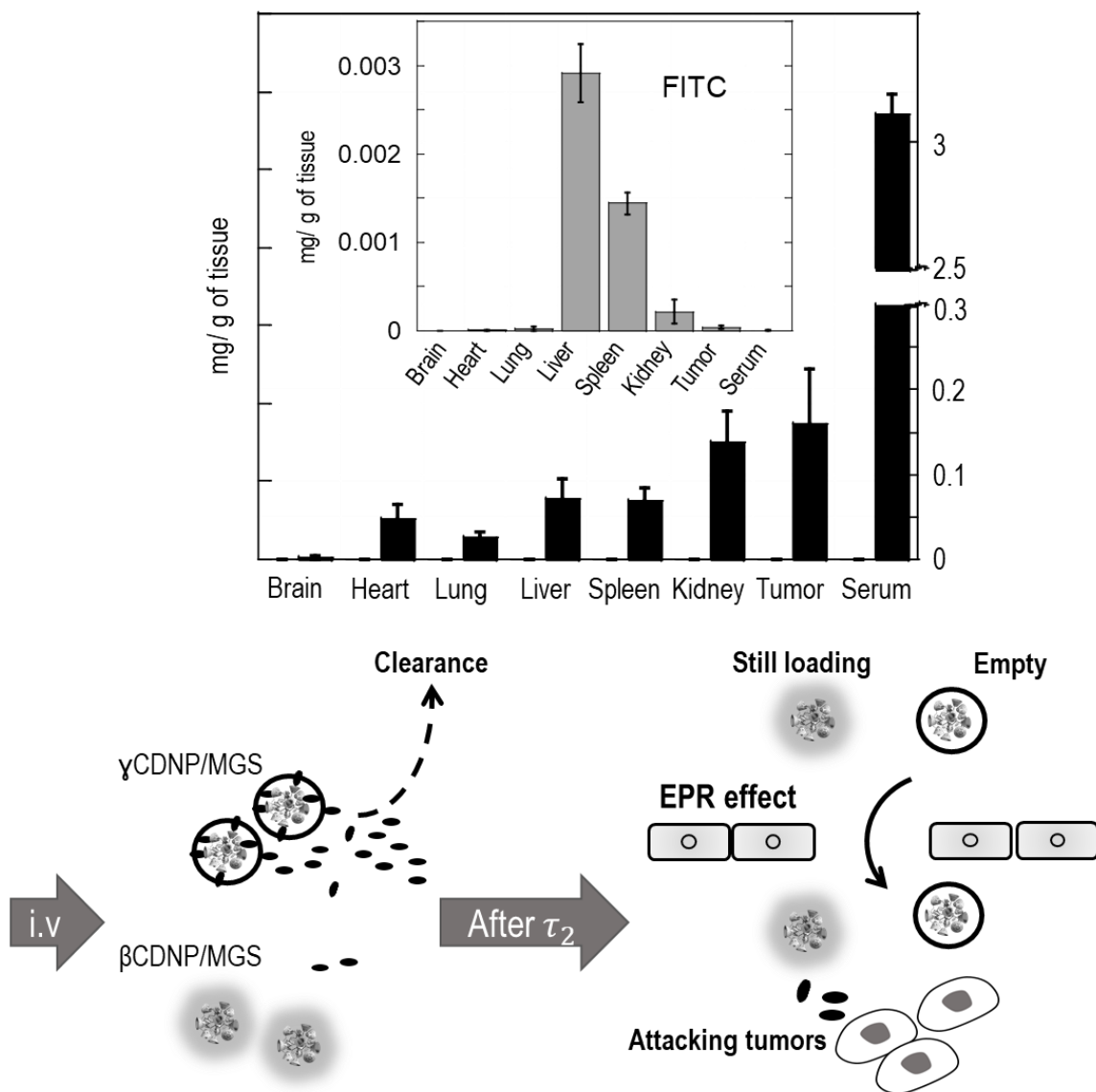
**Figure 4-3.** Anticancer efficacy of  $\beta$ - and  $\gamma$ CDNP/MGS against CT26 tumor, compared with MGS. The tumor volumes (a, c) and the tumor growth ratios (b, d) were monitored against time after i.v. injection. Student's *t*-test was used to analyze the statistical significance of differences between the control and  $\beta$ CDNP/MGS group (denoted by a), MGS and  $\beta$ CDNP/MGS group (denoted by b), and  $\beta$ CDNP and  $\beta$ CDNP/MGS group (denoted by c). \*:  $p < 0.05$ ; \*\*:  $p < 0.01$ .

MGS itself is normally rapidly cleared within 3.5h from the blood after i.v. injection with a single dose [6]. To achieve significant anticancer efficacy by using free MGS, frequent administration of MGS is required [7-9]. Shibata et al. continuously administered MGS dissolved in DMSO/ethanol (1:3 v/v) via subcutaneously implanted mini-osmotic pumps [7]. In addition, Lee et al. dissolved MGS into PBS including a small amount of DMSO and administered the solution intraperitoneally five times per week [9]. Moreover, Johnson et al. administered 100 mg/kg MGS via oral gavage five times weekly [8]. It should be noted that all of the previous works used organic solvent to increase the water solubility of MGS.

However, such use of organic solvents is not allowed in humans. Contrary to these approaches, the  $\beta$ CDNP/MGS in our study demonstrated anticancer efficacy via a simple single i.v. injection without any organic solvents.

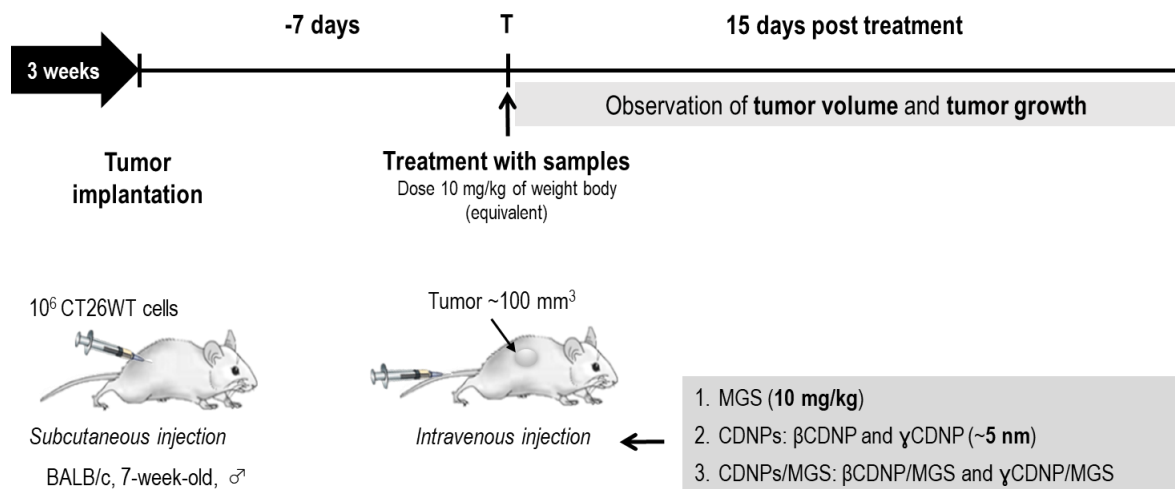
Figure 4-4 (upper) shows the tissue distribution data for  $\beta$ CDNP, where  $\beta$ CDNP was marked with FITC, compared with naked FITC injection. Most of the particles remained in serum after 6h, indicating their good circulation in blood, which may have been due to the hydrophilic surface of  $\beta$ CDNP and the biocompatibility of CDs. Compared with FITC itself,  $\beta$ CDNP appeared to accumulate in the tumor, which can be explained by the EPR effect.

We can assume that both  $\beta$ CDNP/MGS and  $\gamma$ CDNP/MGS were accumulated in the cancerous region with the EPR effect because both they had the same size and their other particle characters were almost the same. However, why was  $\beta$ CDNP/MGS much better than  $\gamma$ CDNP/MGS in terms of anticancer efficacy? We believe that the reason for this is difference in MGS retention ability. As illustrated in the bottom panel of Figure IV-3, after injection into blood stream, CDNP/MGS started to release MGS. This is probably occurred in a similar manner with Figure 3-5 (Chapter III). First, the MGS captured by the surface CDs was released and the releasing speed was almost the same in  $\beta$ CDNP/MGS and  $\gamma$ CDNP/MGS. Later, the release was governed by the speed of transport from the internal to the surface CDs of the particle. This reflected in  $\tau_2$  and may be related to the cavity size of CDs;  $\gamma$ CDNP/MGS has a looser cavity than  $\beta$ CDNP/MGS and thus the dissociation rate of  $\gamma$ CDNP/MGS is four times faster than that of  $\beta$ CDNP/MGS. Therefore, we assume that MGS in  $\gamma$ CDNP/MGS is almost completely released by the time it reaches the cancerous region, while  $\beta$ CDNP/MGS has some MGS left. This is the reason why  $\beta$ CDNP/MGS worked better. From this discussion, we can conclude that there must be an optimal range of  $\tau_2$  to achieve the best performance of MGS although we have yet to clarify this.



**Figure 4-4.** Tissue distribution of FITC (gray column) and FITC-labeled  $\beta$ CDNP (black column) (upper graph) and an illustration to explaining why  $\beta$ CDNP/MGS showed better efficacy *in vivo*.

## Appendix



**Figure 4-A1.** Treatment schedule time.



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## Chapter V: Summary and Conclusions

In this thesis, cyclodextrin-based hyperbranched nanoparticles (CDNPs) were created by polyaddition reaction with epichlorohydrin. Enhanced Permeation and Retention effect (EPR effect) is main theory to design our CDNPs. With CDNPs, we accomplished two main tasks that can help MGS to overcome its limitations in clinical. Among series of CDNPs,  $\beta$ CDNP can become a useful carrier for MGS. The following summarizes all results obtained in each chapter.

### Synthesis and characterization of CDNPs (Chapter II)

Particles properties of CDNPs (molecular weight, hydrodynamic radius and CD contents) was controlled by weight ratio of Epichlorohydrin/Cyclodextrin. Only  $\alpha$ CDNPs did not make any significant change when weight ratio increased, while the molecular weight and hydrodynamic radius of  $\beta$ CDNP and  $\gamma$ CDNP increased. The size of  $\beta$ CDNP reached maximum peak, around 6 nm in radius that is suitable for EPR effect. At low weight ratio, epichlorohydrin just connects CDs. At higher weight ratio, it is supposed that some CDs cover the surface of CDNP to make nanoparticles water-soluble, other CDs with polymerization of epichlorohydrin are located inside of CDNPs.

### The ability of encapsulating MGS in aqueous solution (Chapter III)

In Chapter III, the poor-water solubility of MGS is improved by the most use of native CDs and CDNPs. In spite of that, CDNPs encapsulated MGS with a great loading ratio of MGS than that of native CDs. It was supposed that binding constant between CD and MGS enhanced in CDNPs system. Using simple model reaction 1:1, binding constant of CD and MGS was roughly evaluated and increased 100 times by polymerization as expectation. Probably, due to converting hydroxyl group of CD to ether group during polymerization, it may be possible for MGS to easily go to cavity of CD. The interaction in CDNPs system was considered to be at the same level of antigen-antibody interaction. Among CDNPs,  $\beta$ CDNP gave the best for loading ratio as well as binding constant compared with  $\alpha$ - and  $\gamma$ CDNP.

As a matter of using nanoparticle in drug delivery system, drug release profile was also monitored to understand how CDNPs release MGS. All  $\alpha$ -,  $\beta$ -, and  $\gamma$ CDNP showed two modes: initial rapid release and second slow release. According to Chapter II, there may be two types of CD: internal CDs and surface CDs. These CDs differ from affinity with MGS.

Internal CDs have more strong binding with MGS than surface CDs. Thus, we proposed a model of releasing MGS from CDNPs. Most of MGS may be kept in internal CDs and released in second slow mode. Before going to outside, MGS escapes from internal CDs, then is transferred to surface CDs. In complex with surface CDs, MGS will rapidly release into environment.

In contrast to encapsulation, kinetical properties are considered in releasing MGS instead of binding constant. It can provide a relationship between lifetime of CDNP/MGS ( $\tau_2$ ) in second slow mode and dissociation constant rate ( $k_d$ ).  $k_d$  is in inverse proportion to  $\tau_2$ . The  $\tau_2$  of  $\beta$ CDNP/MGS is longer than that of  $\gamma$ CDNP/MGS, so the dissociation reaction in  $\beta$ CDNP/MGS complex occurs fast than that in  $\gamma$ CDNP/MGS complex,  $\sim 4$  times. The cavity size of CD might be a reason for this difference in terms of  $k_d$ .

#### Anticancer efficacy of CDNPs/MGS (Chapter IV)

We presented anticancer efficacy of CDNP containing MGS in Chapter IV. All CDNPs containing MGS showed cytotoxicity *in vitro* but only  $\beta$ CDNP/MGS suppressed tumor volume and tumor growth *in vivo*. It is demonstrated that  $\beta$ CDNP accumulated in tumor as a result of EPR effect. More importantly, EPR effect appears to be insufficient to explain the lack of anticancer efficacy in case of  $\gamma$ CDNP/MGS. Although many studies have been performed to develop better carriers for delivering hydrophobic drug compounds, to the best of our knowledge, there are no basic principles to design such carriers, except for their size in terms of the EPR effect. In the present work, it is proposed that the drug retention time or  $\tau_2$  seem to be for such design. The best  $\tau_2$  may differ depending on the particular cancer and drug, but this principle may be universal across all hydrophobic drugs.

## List of publication

Part of this thesis has been or will be published in the following papers

1. Cyclodextrin-Based Nanoparticles Encapsulating  $\alpha$ -Mangostin and Their Drug Release Behavior: Potential Carriers of  $\alpha$ -Mangostin for Cancer Therapy

Van T. H. Doan, Ji Ha Lee, Rintaro Takahashi, Phuong T. M. Nguyen, Van Anh T. Nguyen, Huong T. T. Pham, Shota Fujii, and Kazuo Sakurai

*Polymer Journal* 2020, 52(4), p.p. 457–466

2. Enhanced Binding Constant of Cyclodextrin to Alpha-mangostin in Hyperbranched Polymers

Doan Thi Hong Van, Doan Thi Ngoc Anh, Shota Fujii, and Kazuo Sakurai

*Chemistry Letters* 2020. DOI: <https://doi.org/10.1246/cl.200210> (just accepted)

3. Anticancer Efficacy of Cyclodextrin-Based Hyperbranched Polymer Nanoparticles Containing Alpha-Mangostin

Van T. H. Doan, Shin Takano, Ngoc Anh T. Doan, Phuong T. M. Nguyen, Van Anh T. Nguyen, Huong T. T. Pham, Koji Nakazawa, Shota Fujii, and Kazuo Sakurai

Submitted to *Polymer Journal*

Other related work:

1. Patent 2019-172063

Kazuo Sakurai, Shota Fujii, Doan Thi Hong Van, Nguyen Thi Mai Phuong, Nguyen Thi Van Anh, Pham Thi Thu Huong

